

Emerging Applications and Challenges in using **Ceramics at General Electric**

Krishan L. Luthra
Technology Leader
Ceramic & Metallurgy Technologies
GE Global Research
Schenectady, NY 12309

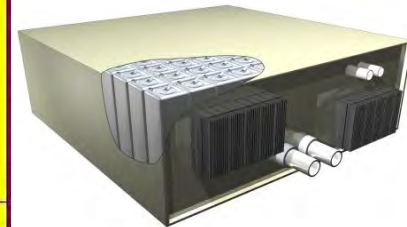
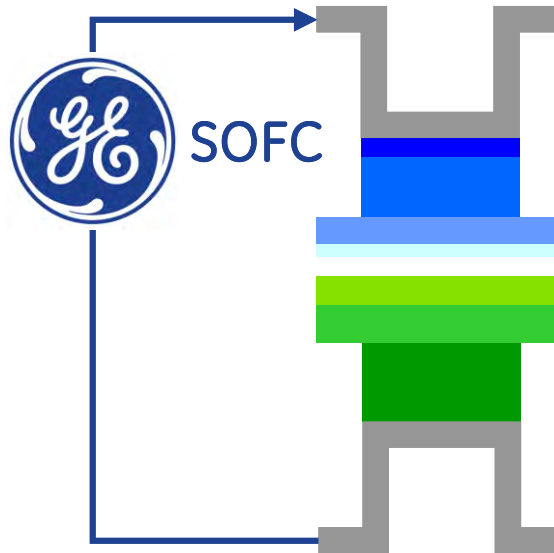
August 2, 2011

Ceramics in Emerging Energy Systems

Gas Turbine

SOFC

NaMx Battery



CMCs

Light weight, High Temperature

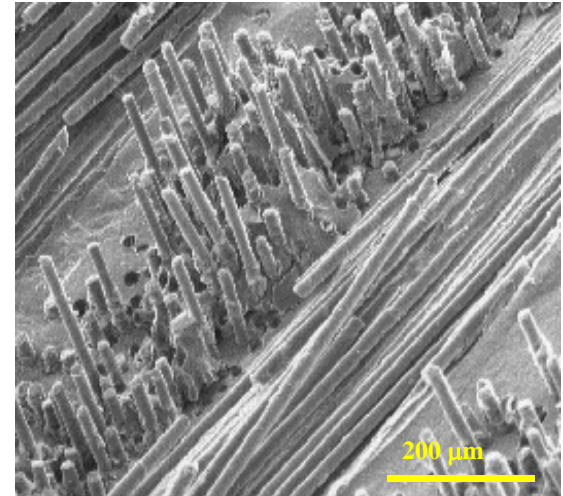
Solid Electrolyte
Oxygen & Sodium Ion Transport

Outline

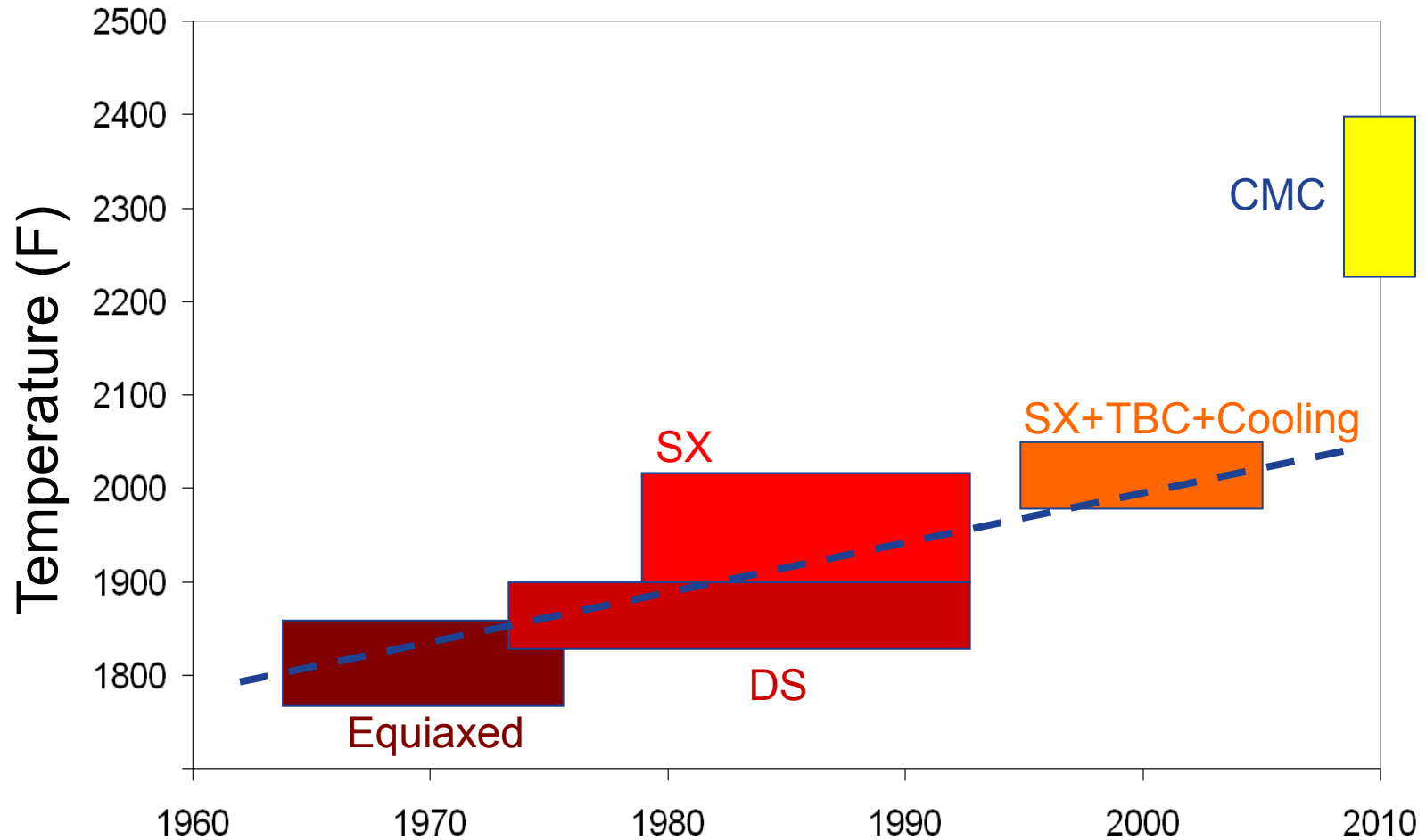
- **Introduction**
 - Ceramic Applications
- **Ceramic Matrix Composites (CMCs)**
- **Solid Oxide Fuel Cells**
- **NaMx Batteries**
- **Summary and Conclusions**

Ceramic matrix Composites

- What is a CMC?
- SiC/SiC system by Melt Infiltration
- Properties of SiC/SiC composites
- Engine Test Experience
- Commercialization challenges/Barriers
- Summary



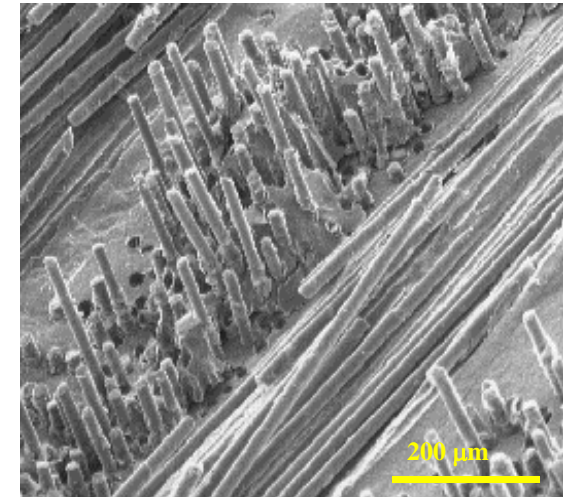
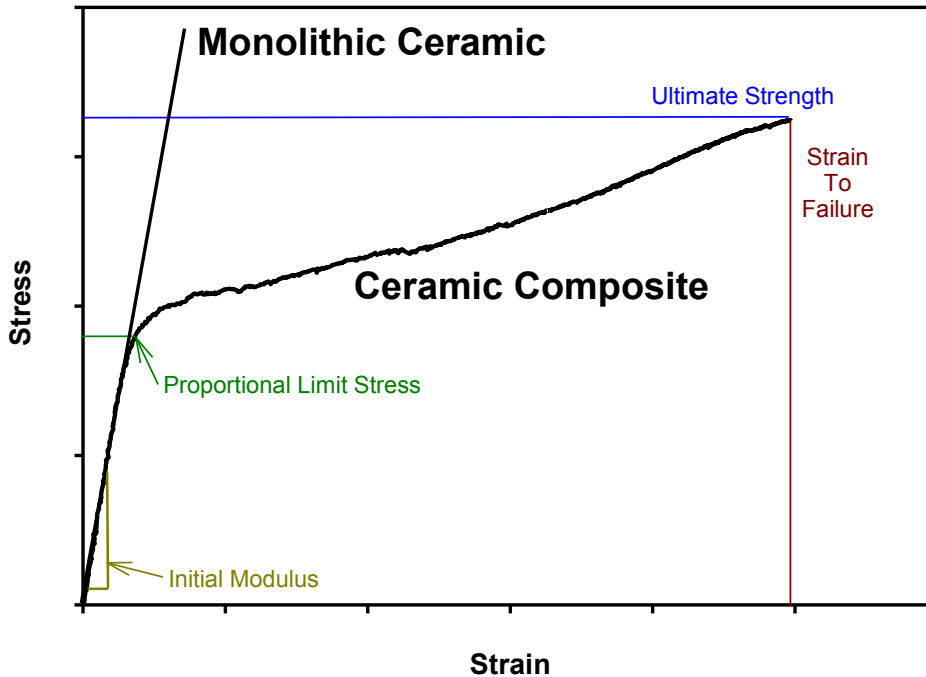
High Temperature Structural Material Capability



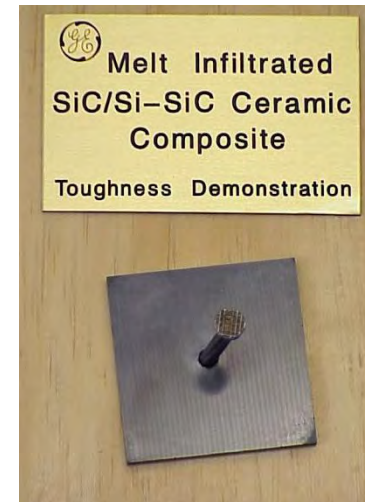
CMCs are the only option for significant enhancement of material capability

Delivers significant fuel and pollution reductions

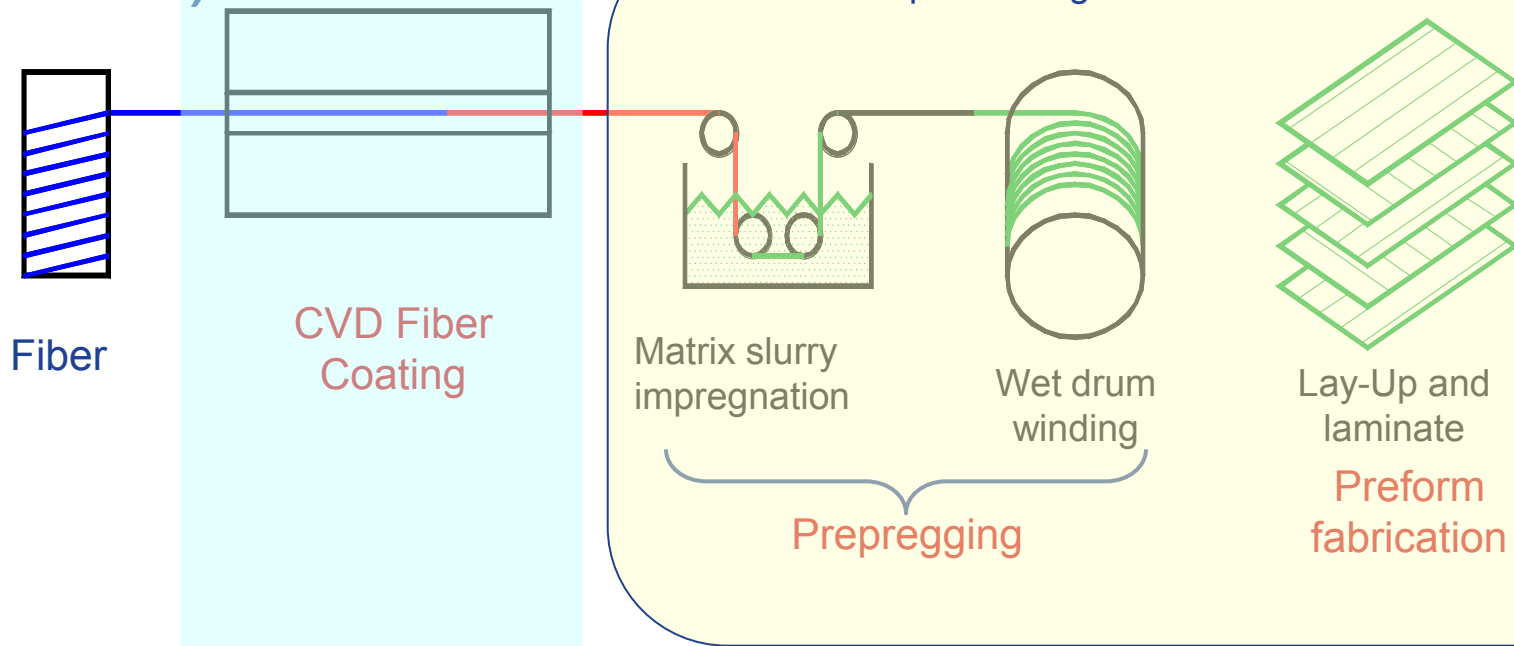
Pseudo-Toughness from Continuous Fibers



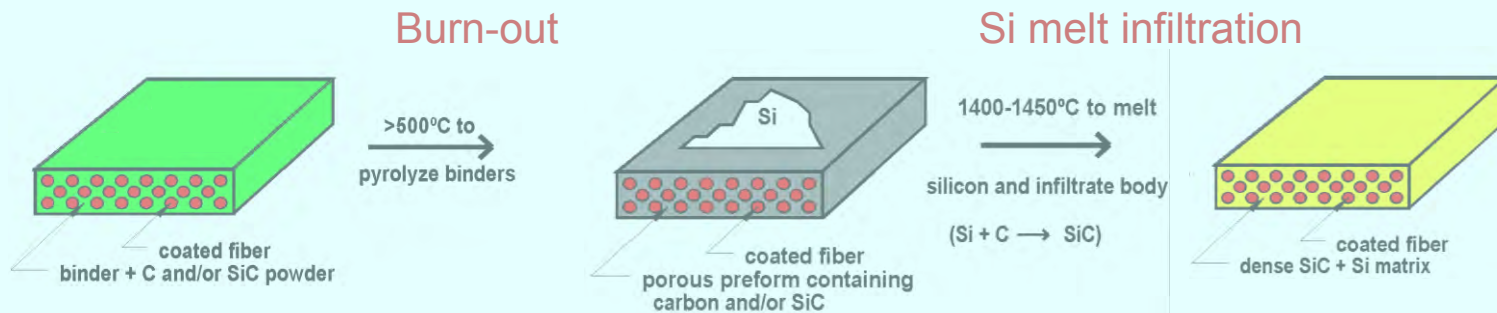
- Toughness, or damage tolerance, is derived from energy dissipated by fiber-matrix debonding and fiber pull-out



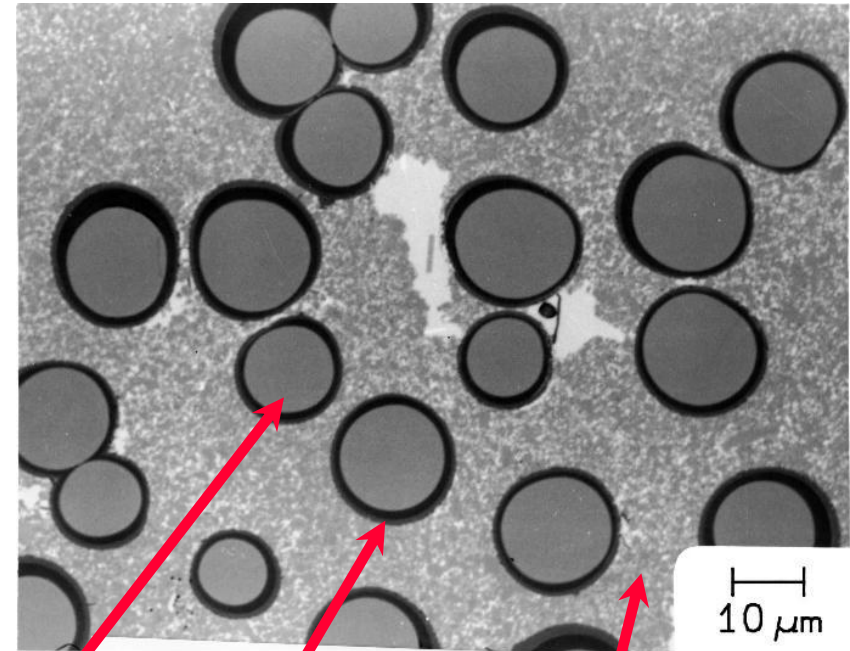
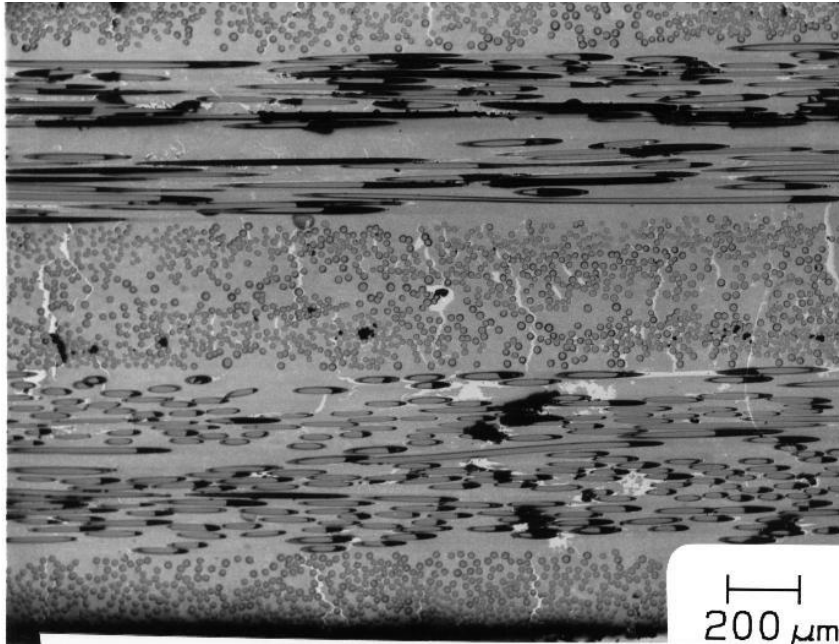
GE's Melt Infiltrated, Prepreg CMC Process (1990's)



Additional CMC process steps



Microstructure of Prepreg MI Composites

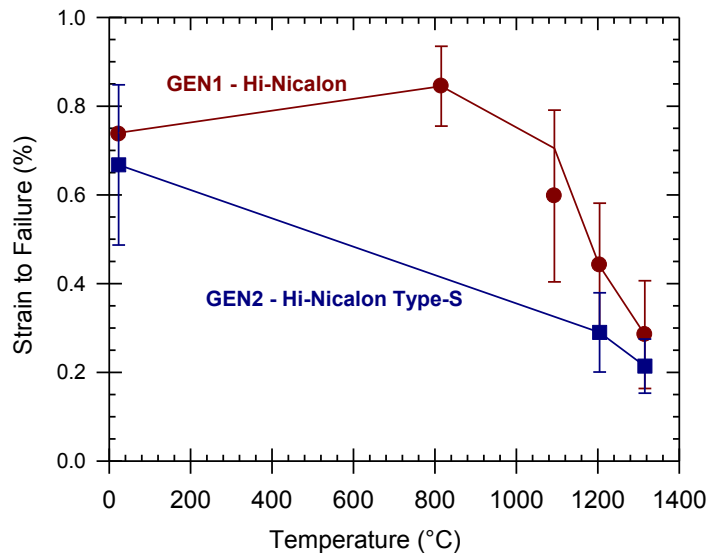
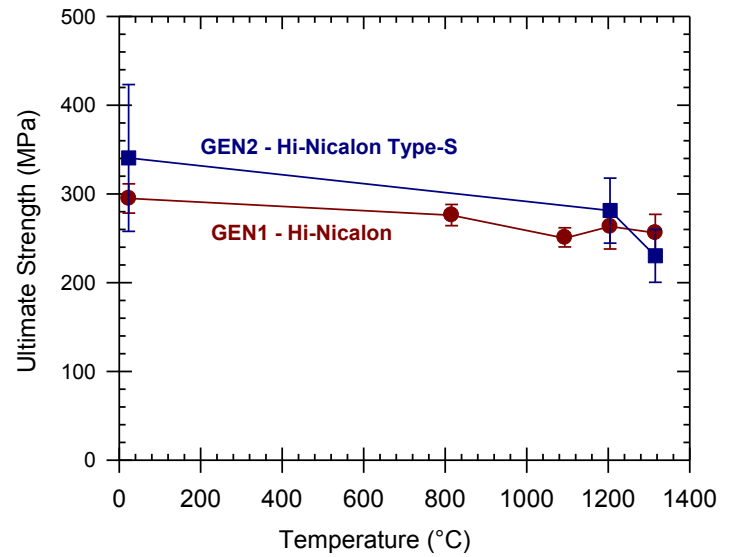
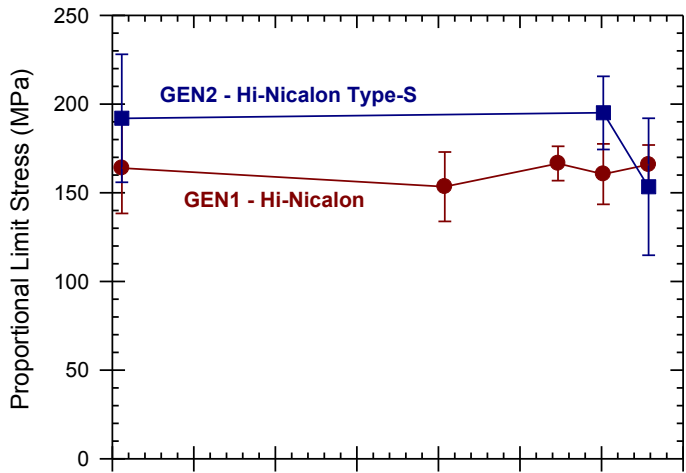


Fiber

Fiber
Coating

SiC-Si
Matrix

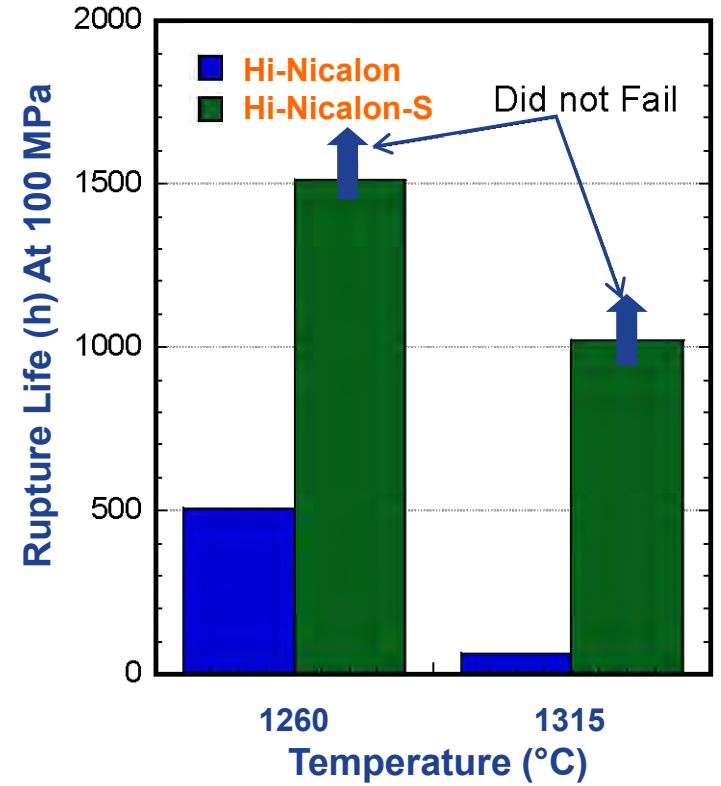
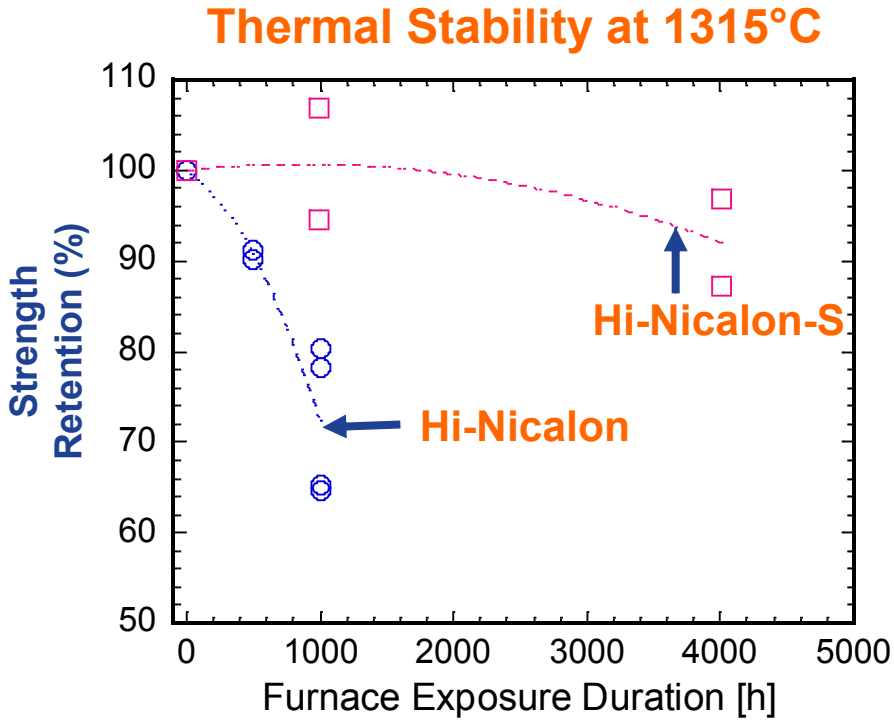
- Fibers Homogeneously Distributed; $V_f = \sim 25\%$
- Separated Fibers and Fiber Coatings
- $\sim 1-3\%$ Matrix Porosity



Excellent Mechanical Properties up to ~1200 C



Prepreg MI Composites



Foreign Object Damage

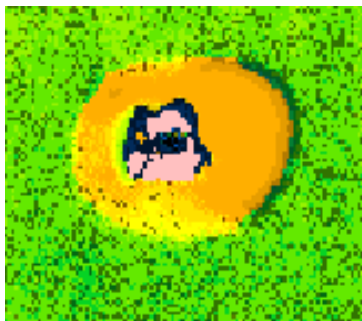
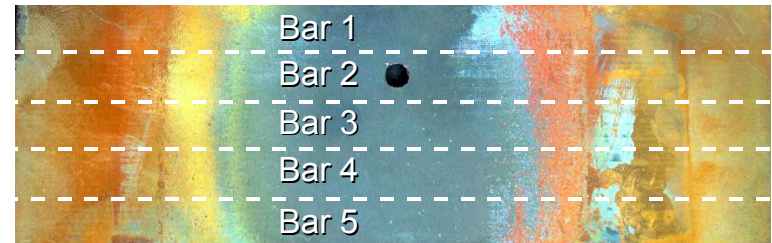
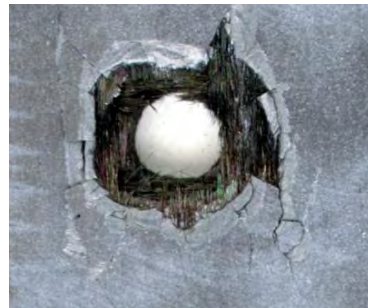
- **Use ballistic impact to simulate foreign object damage**

- 0.175" chrome steel ball bearing at 310m/s (18J)
- damage localized to impact site
- impacted sample exposed in shroud rig for 100 hours

Entrance



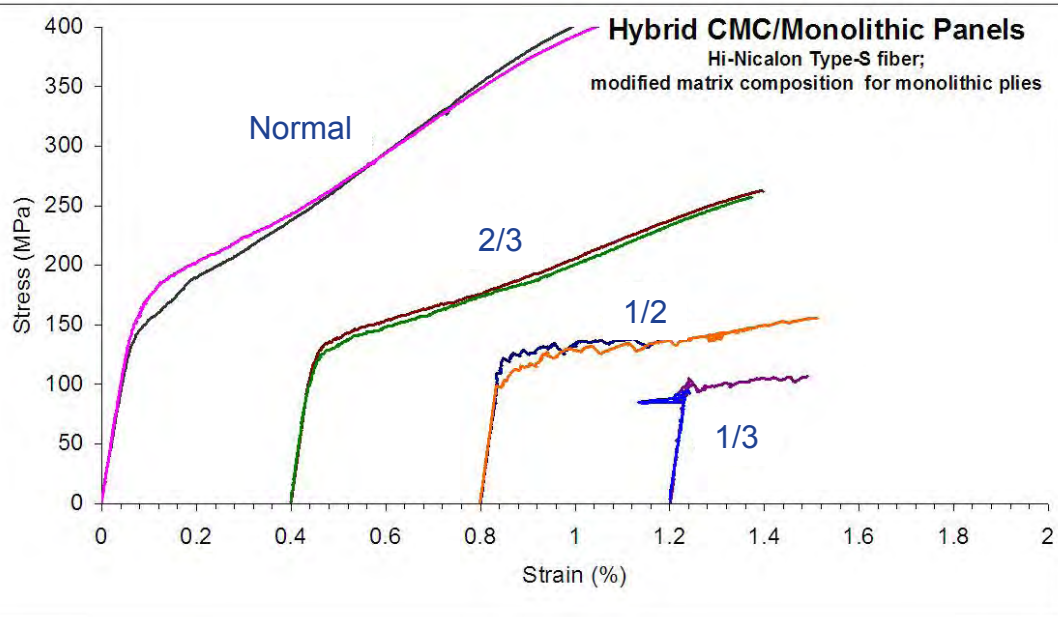
Exit



NDE

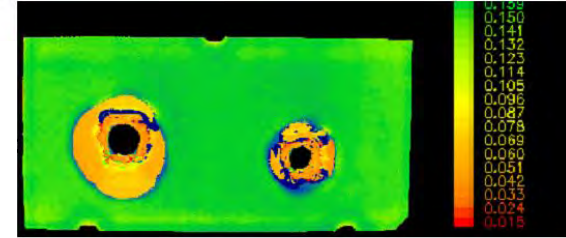
Little or no damage propagation on exposure to high temperatures

Fiber Volume Fraction Effect on Damage Tolerance

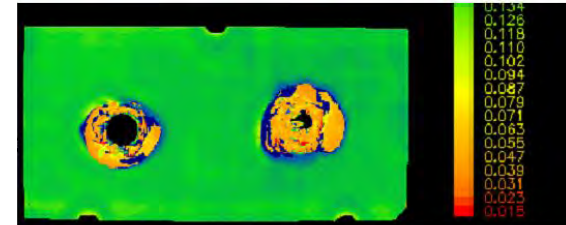


- Detrimental effect on mechanical properties
- No effect on damage tolerance as measured by ballistic impact damage resistance

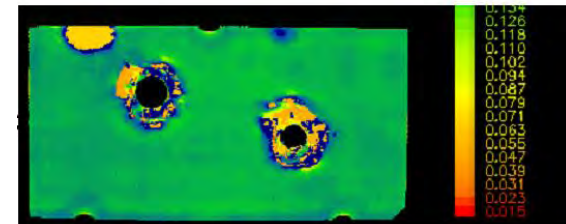
Normal
fiber
loading



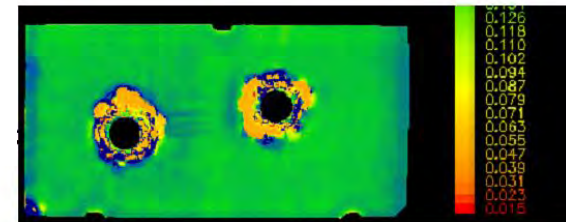
2/3 normal
fiber
loading



1/2 normal
fiber
loading

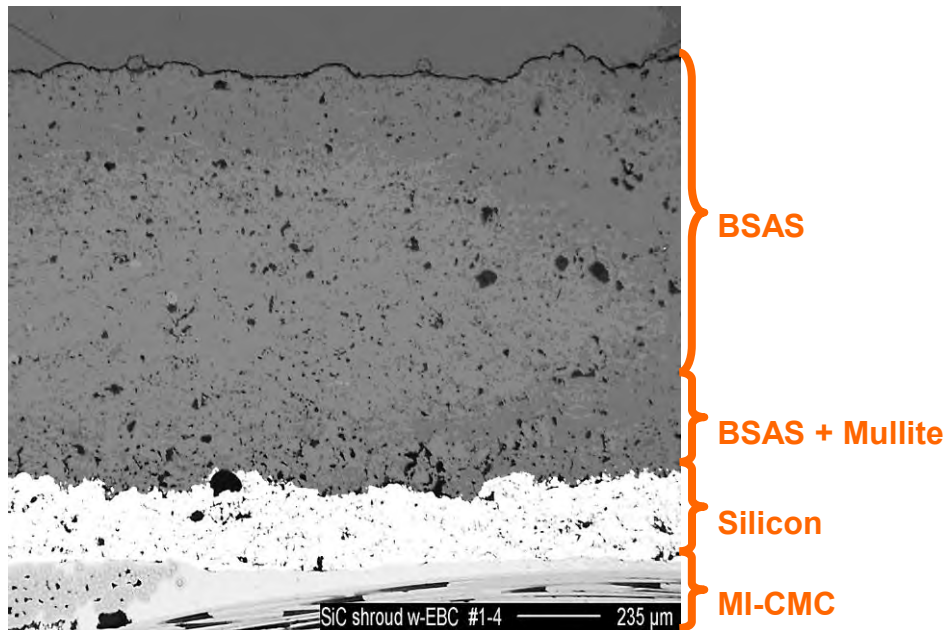


1/3 normal
fiber
loading



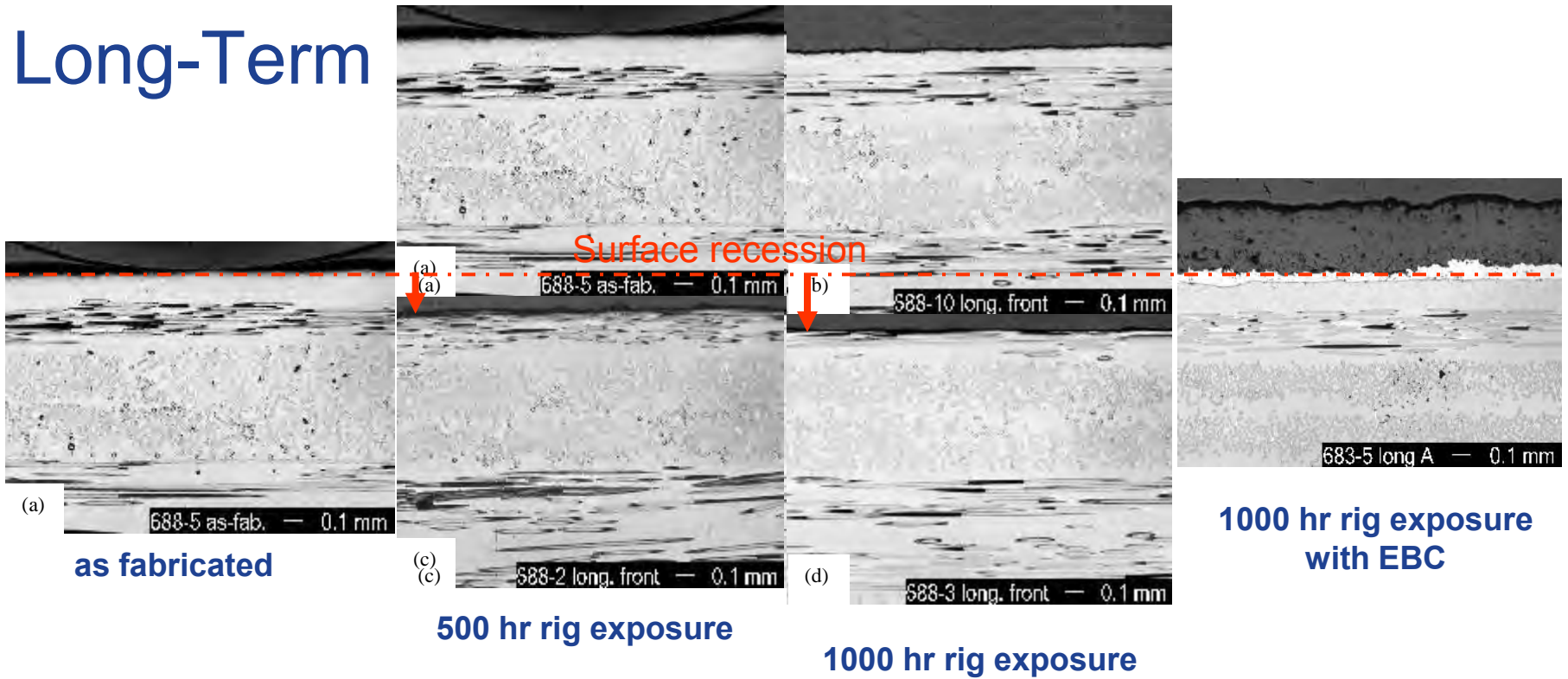
Material System

Environmental Barrier Coating (EBC) needed for turbine applications to prevent silica volatilization and surface recession from water vapor in combustion gas



- 3-layer EBC system
- Application by thermal spray techniques
- BSAS – water vapor recession resistance
- BSAS + mullite – transition layer for CTE match
- Silicon – oxidation resistance

Long-Term



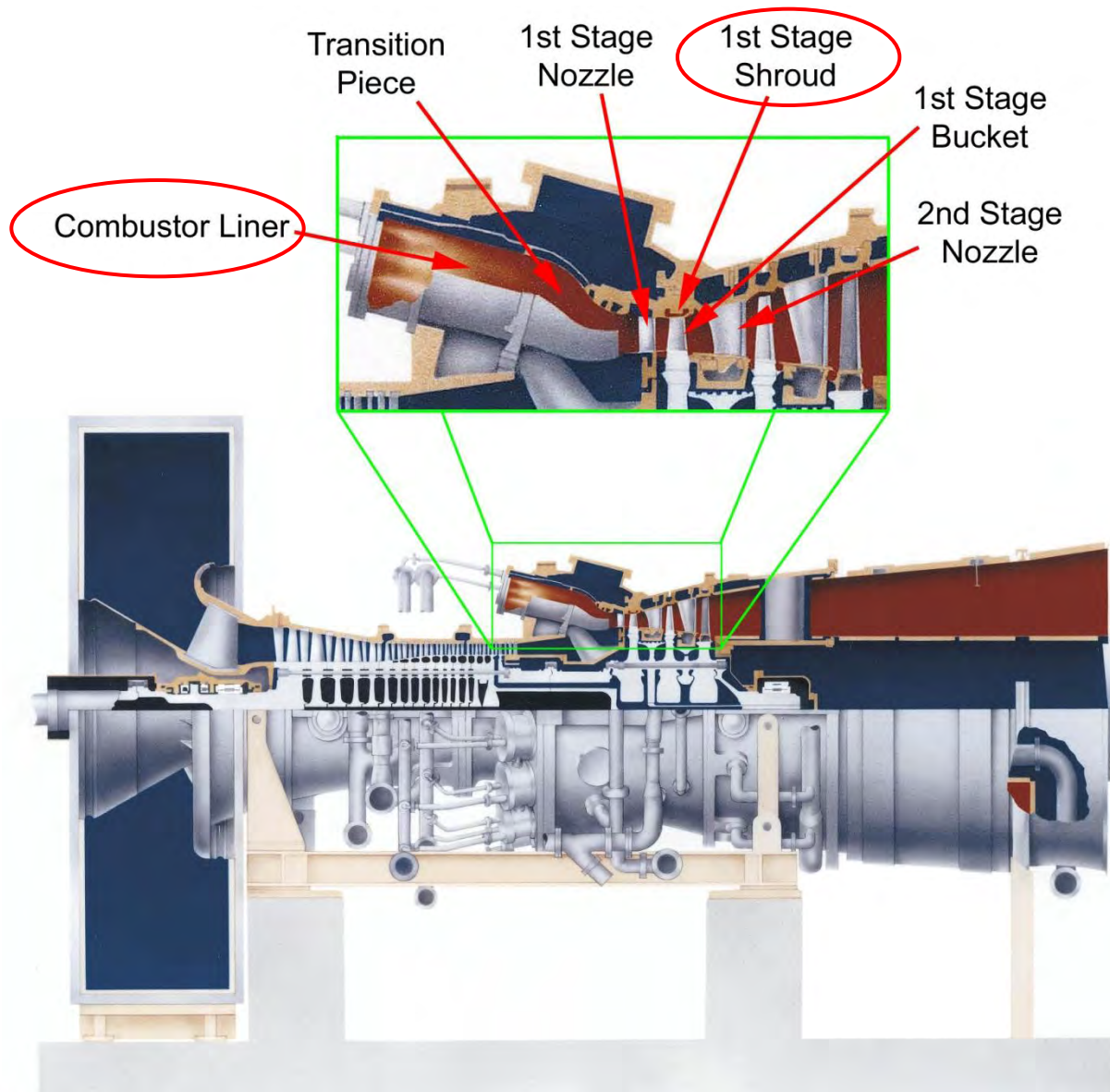
Prepreg MI composite w/o EBC

- damage limited to surface recession

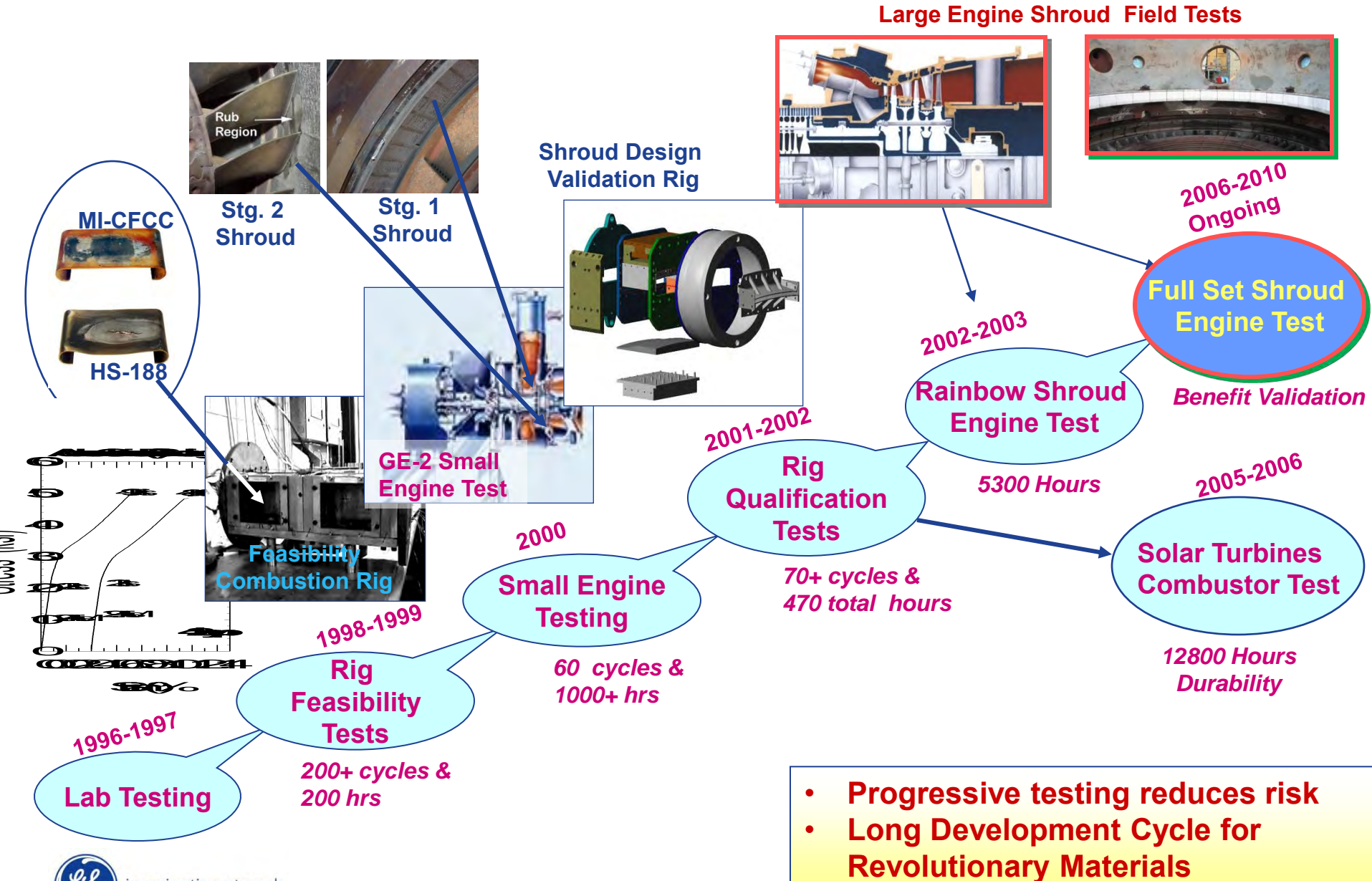
Prepreg MI composite with EBC

- no surface recession or internal oxidation

Industrial Turbine Applications



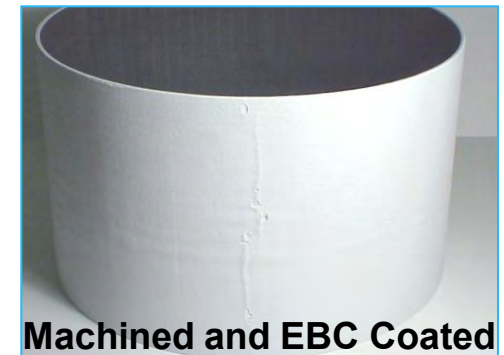
CMC Development Path for Gas Turbines*



• Progressive testing reduces risk
 • Long Development Cycle for Revolutionary Materials

Prepreg CMC Combustor Liner in Solar CSGT Engine

- Combustor liner fabricated in late 2004, tested in CSGT engine (modified Solar Centaur 50s, 4MW) at Chevron/Texaco site in Bakersfield, CA from Jan 2005-Nov 2006
 - 12822 hours including 46 start/stop cycles
- CMC survived relatively unharmed despite presence of pre-existing processing defects
- EBC retained over >99% of surface



7FA Field Engine Rainbow Test



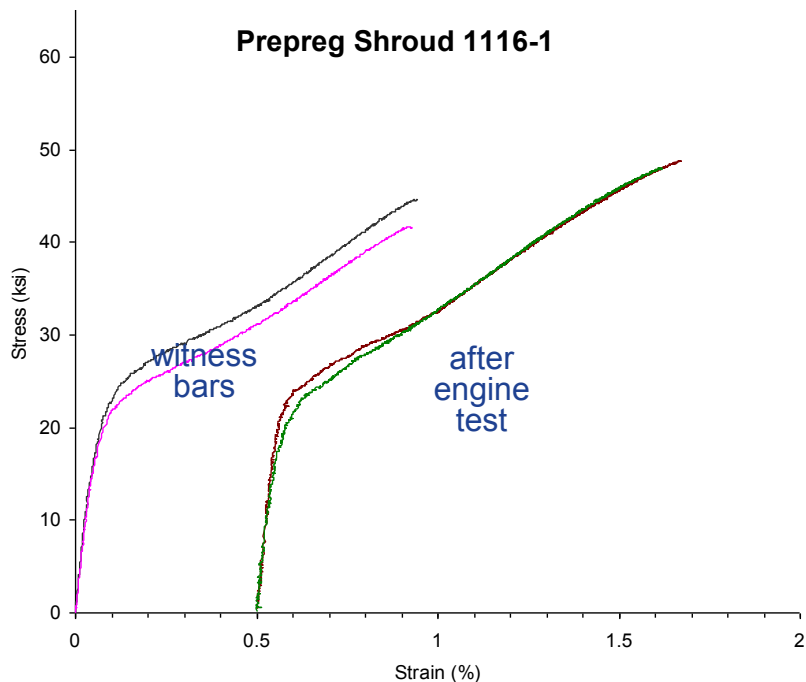
- Shrouds exposed for 5000+ fired hours and 15+ start/stop cycles with no shroud failures, engine operation issues or instrumentation anomalies
- Engine firing temperature range 1232-1288°C on daily cycle
- CMC inner shroud maximum surface temperature ran 1200° to 1260°C

No shroud failures or performance anomalies

Prepreg CMC Shroud Residual Strength

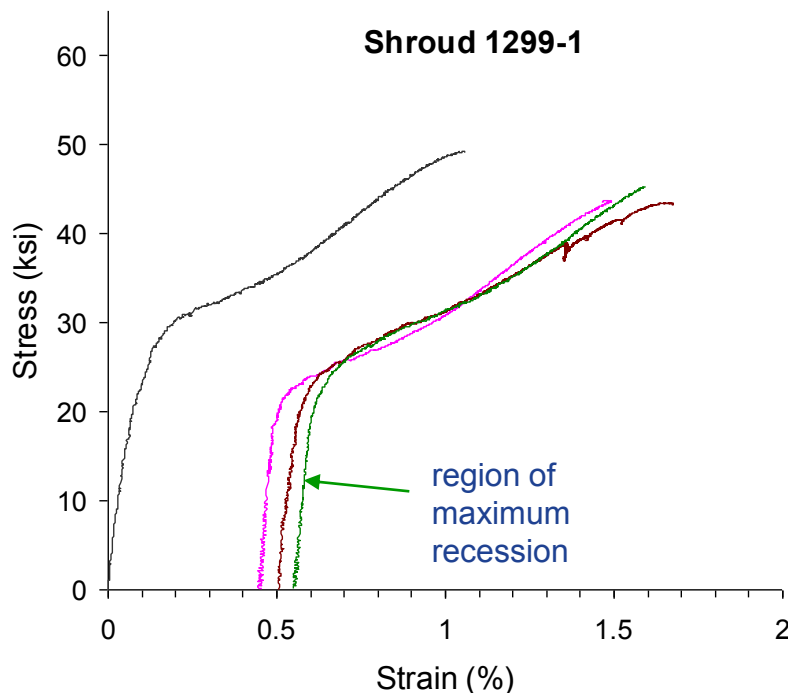
Prepreg Shroud without EBC spallation

Prepreg Shroud 1116-1



Prepreg Shroud with EBC spallation

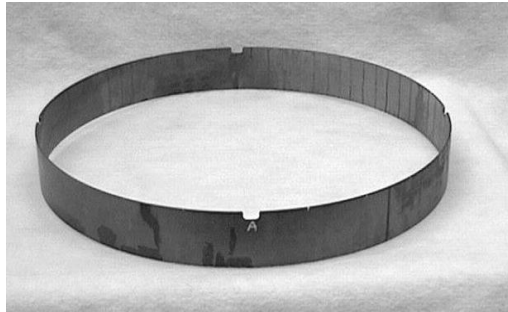
Shroud 1299-1



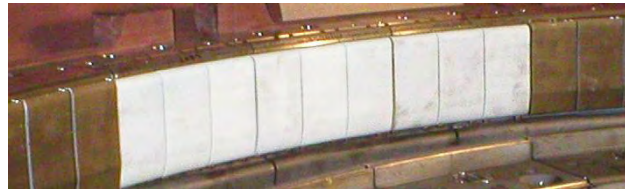
No degradation in mechanical properties

Nearly 20,000 hours of Field Experience with Shrouds & Combustor Liners

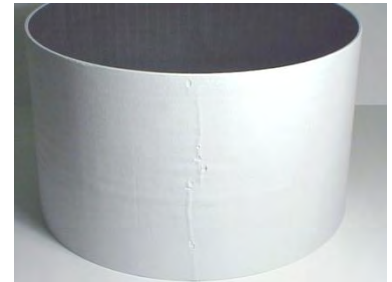
47cm CMC Second Stage Shroud Ring
1000 hrs
2 MW Machine (2000)



~8 cm x 15 cm first stage shrouds
160 MW machine
5366hrs, 14 cycles
2002-2003



~30 cm dia x 27 cm length
Combustor liner
12,855hrs, 45 cycles
Solar 10 MW gas turbine
2005-2006



~8 cm x 15 cm first stage shrouds
96 per full set - 160 MW machine

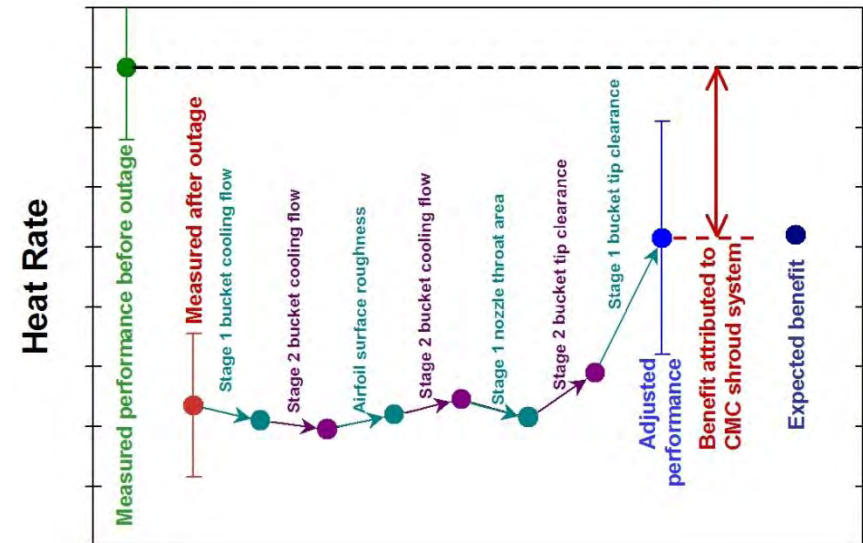
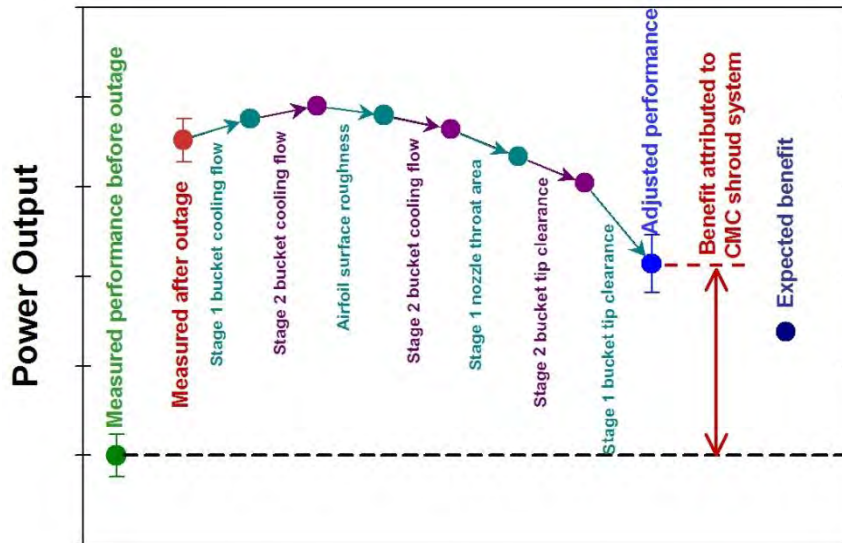


>1900 hrs, 342 starts
2006- Continuing
JEA, Florida



>2000 hrs, 15 starts
2011- Continuing

Turbine Performance Benefit Verified



Measured benefits attributed to the CMC shrouds exceeded the expectation for increase in power output and met expectations for reduction in heat rate (increase in efficiency)

Publicly Announced CMC Components

GE-Rolls Royce
F136 engine for the
Joint Strike Fighter



GE F414 engine
for F/A-18E/F
Super Hornet



GE LEAP-X for
narrow body
aircraft



Commercialization Challenges

Design of Components

- Adequate attachment compliance to account for thermal expansion mismatch
- Adequate part sealing to realize cooling air flow and leakage goals

Life of MI-CMC Components

- Industrial applications require tens of thousands of hours
- Damage propagation after initial damage
- Requires minimization of processing defects in components

Coating Life

- Required minimum of 24,000 hours
- Damage propagation after FOD or otherwise localized damage

Component Cost

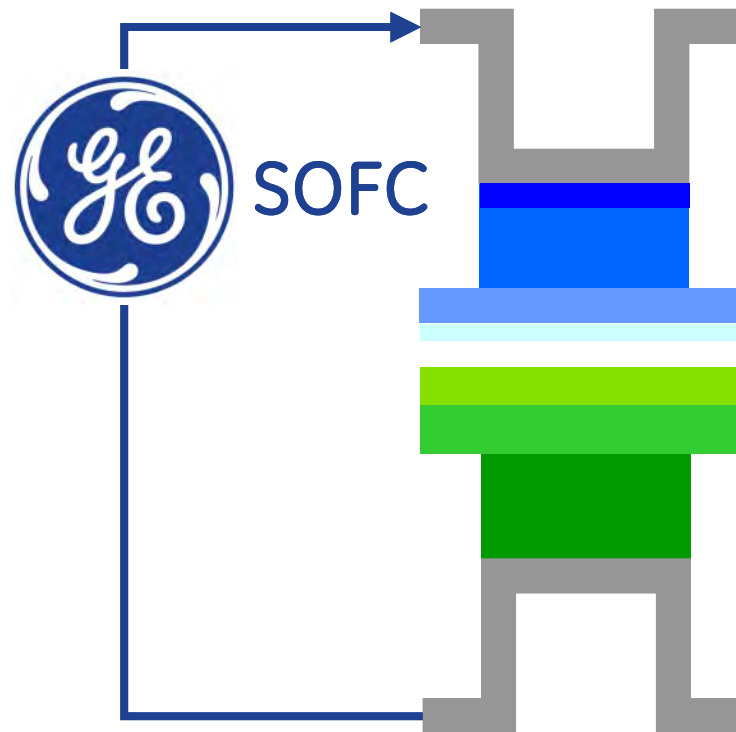
- Target is 1 - 2 times the metallic component cost

CMC Summary

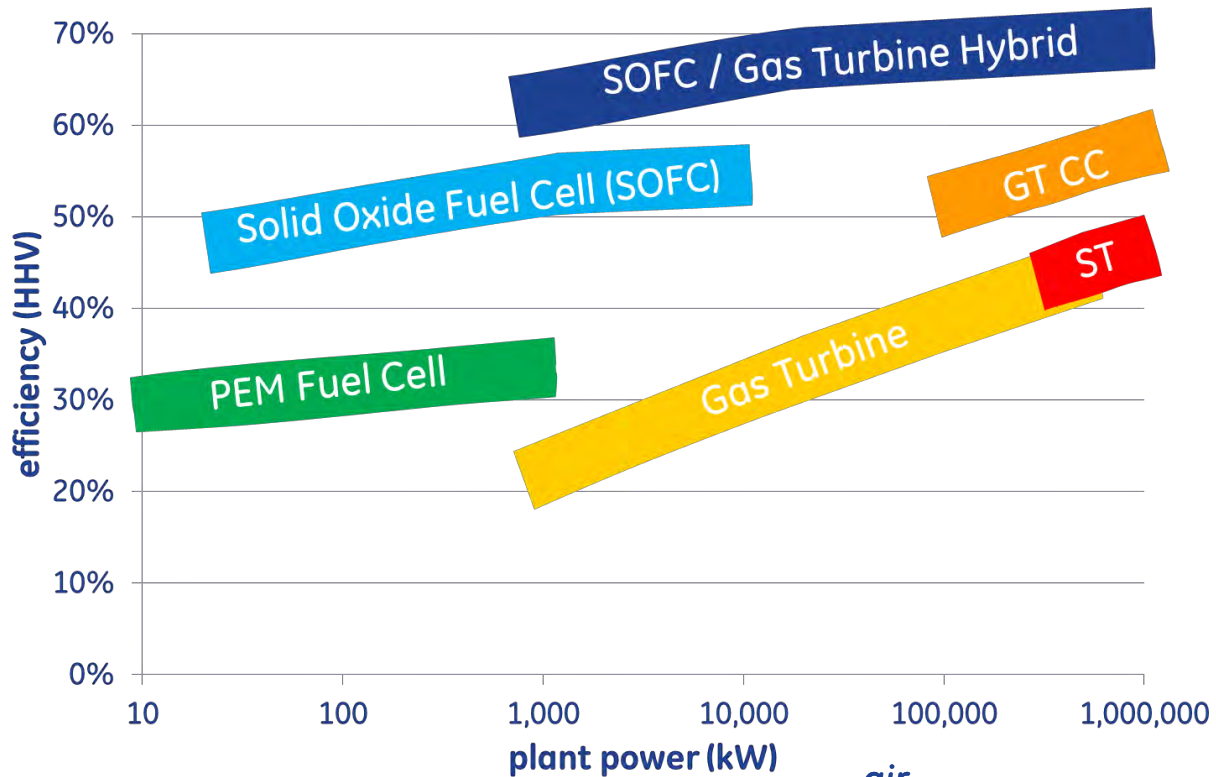
- Melt Infiltrated SiC/SiC composites (HiPerComp®) are attractive for high temperature applications in industrial gas turbines & aircraft engines
 - Low matrix porosity: high thermal conductivity, high proportional limit, high interlaminar strengths, superior oxidation resistance
- Key Attributes
 - Light weight & high temperature capability make them attractive for hot stage components of gas turbines
- Several successful field engine tests
 - Small engine shroud for >1,000 hours
 - Small engine combustor for >12,000 hours
 - Large engine partial shroud set for >5,000 hours
 - Large engine full shroud set for >1,100 hours and >800 hours continuing
- Remaining hurdles to commercialization include CMC material cost and demonstration of full component life

SOFCs

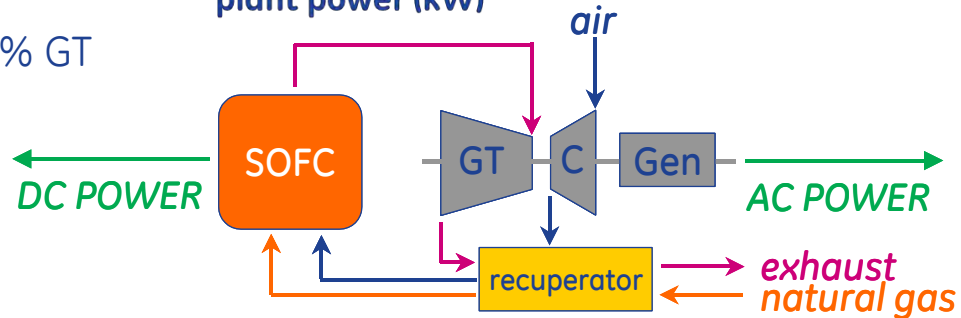
- **Benefits/system**
- **Challenges**
- **Status**



Why SOFC?

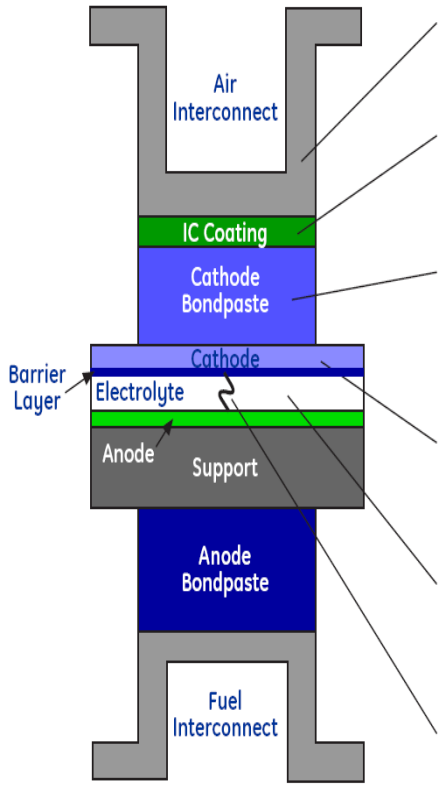


Power: 80% SOFC / 20% GT
 <1ppm NO_x, SO_x



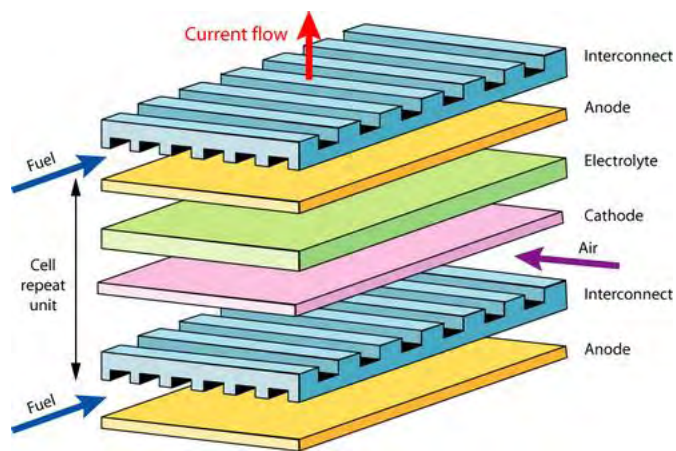
High efficiency power generation

Anode Supported Solid Oxide Fuel Cell



| | Layer | Function | Material | Thickness |
|-----------|-----------------------|---|---|-------------------|
| Air Side | Interconnect | Gas & Electron Transport | Ferritic Stainless Steel | 500 μm |
| | Protective Coating | Prevent interconnect Cr from poisoning cathode | $(\text{Mn,Co})_3\text{O}_4$ | 10 μm |
| | Cathode Contact Paste | Electrically connect cell with air interconnect | $(\text{La,Sr})\text{CoO}_3$ | 100 μm |
| | Cathode | Air electrode | $(\text{La,Sr})(\text{Co,Fe})\text{O}_3$ | 40 μm |
| | Barrier Layer | Prevent cathode Sr from reacting with electrolyte Zr | GDC $(\text{Ce}_{0.8}\text{Gd}_{0.2})\text{O}_2$ | 10 μm |
| | Electrolyte | Permit O^{2-} transport, prevent air/fuel mixing | YSZ $(\text{ZrO}_2 + 8 \text{ mol } \text{Y}_2\text{O}_3)$ | 10 μm |
| Fuel Side | Functional Anode | Fuel electrode | NiO/YSZ | 20 μm |
| | Anode Support | Mechanically supports Anode & Electrolyte | NiO/YSZ | 200 μm |
| | Anode Contact Paste | Electrically connect cell with fuel interconnect | NiO | 100 μm |
| | Interconnect | Gas & Electron Transport | Ferritic Stainless Steel | 500 μm |

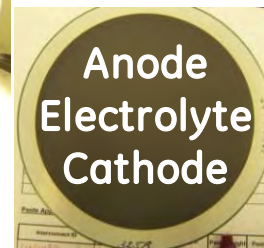
Solid Oxide Fuel Cells at GE



Planar SOFC



Anode flow field



Cell operates at $\sim 0.8V$ (DC)

Stack series of cells sum voltage



SOFC Stack



SOFC 5kW Prototype

System stacks in parallel

Commercialization Challenges

Key Requirements

- Life: >40,000 hrs
- Cost: Cost of Electricity (COE) at par with existing Power Generation systems (<10 cents per KWH)

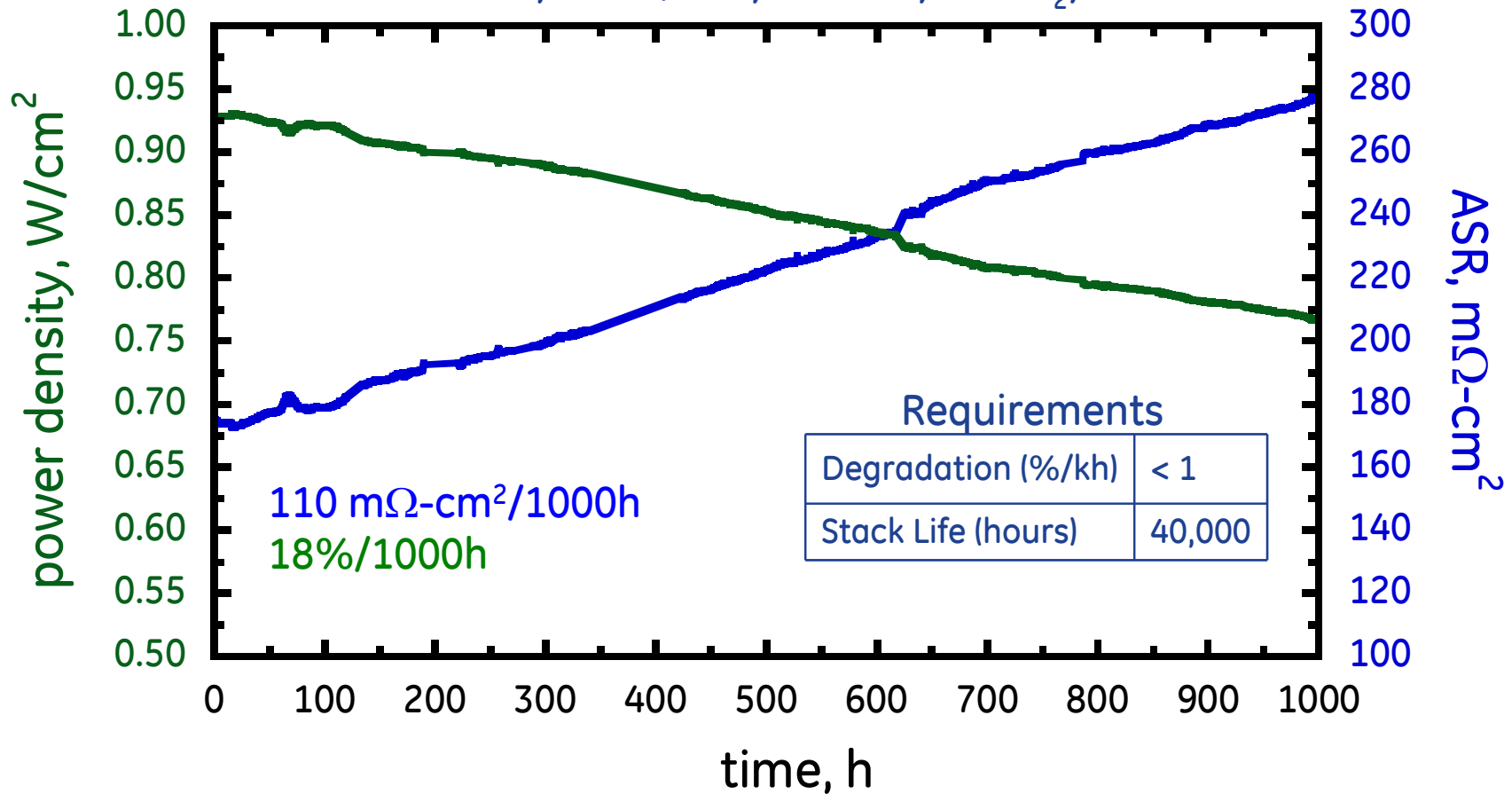
Approach

- Life
 - Limited by Materials Issues (Chemical and structural)
- Cost
 - Installed Cost
 - Low Cost Materials (Innerconnect alloy, rare earths, ...)
 - High performance materials set (Increased power density)
 - Low Cost Manufacturing (Thermal spray for processing of electrolyte & electrodes)
 - Operating Cost (Increased system efficiency & Increased life)

2007 25cm² cell degradation

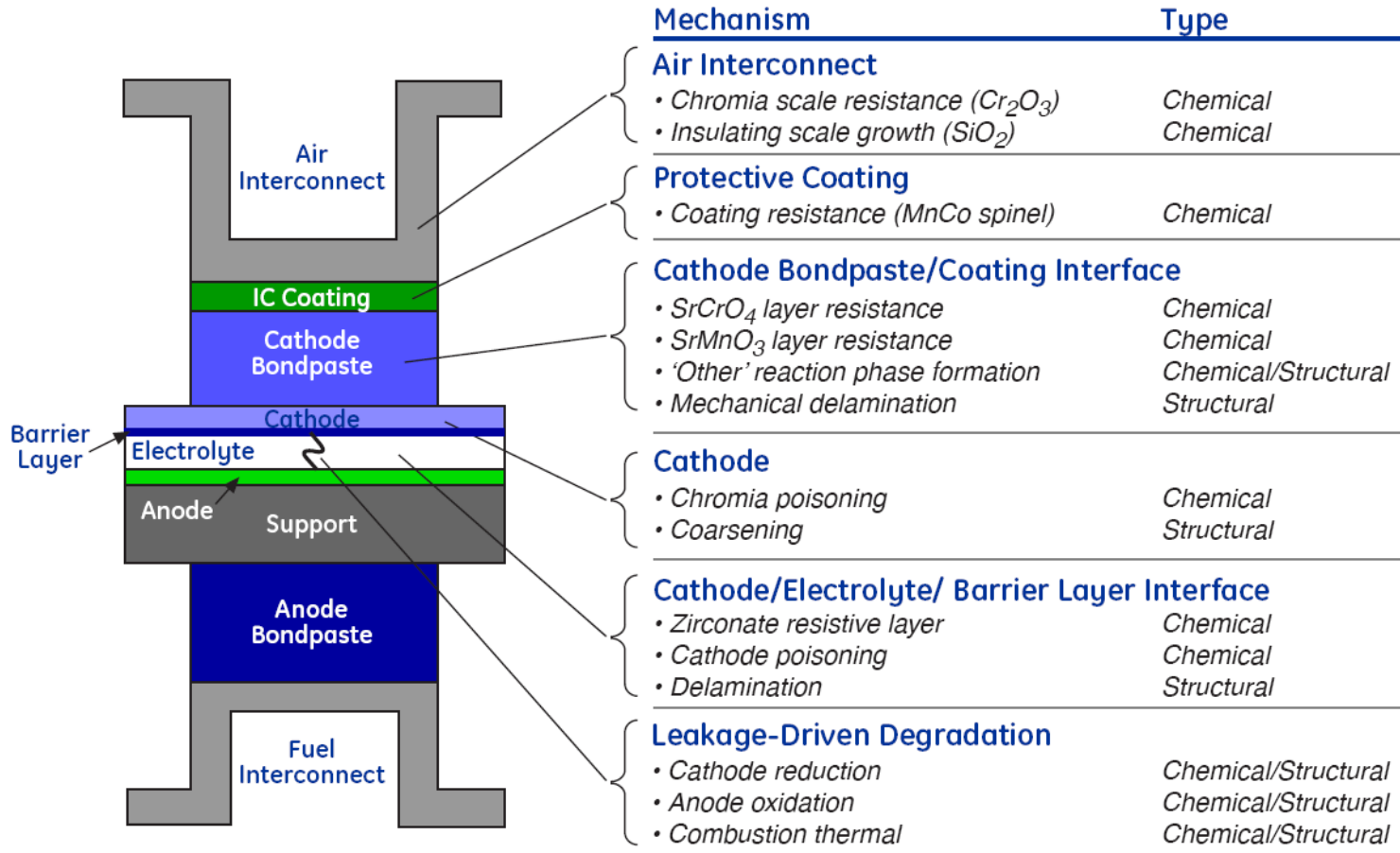
GE-13L, MCO, LSC, LSCF cathode

25cm², 1.25A/cm², 34% UF, 64%H₂, 800°C



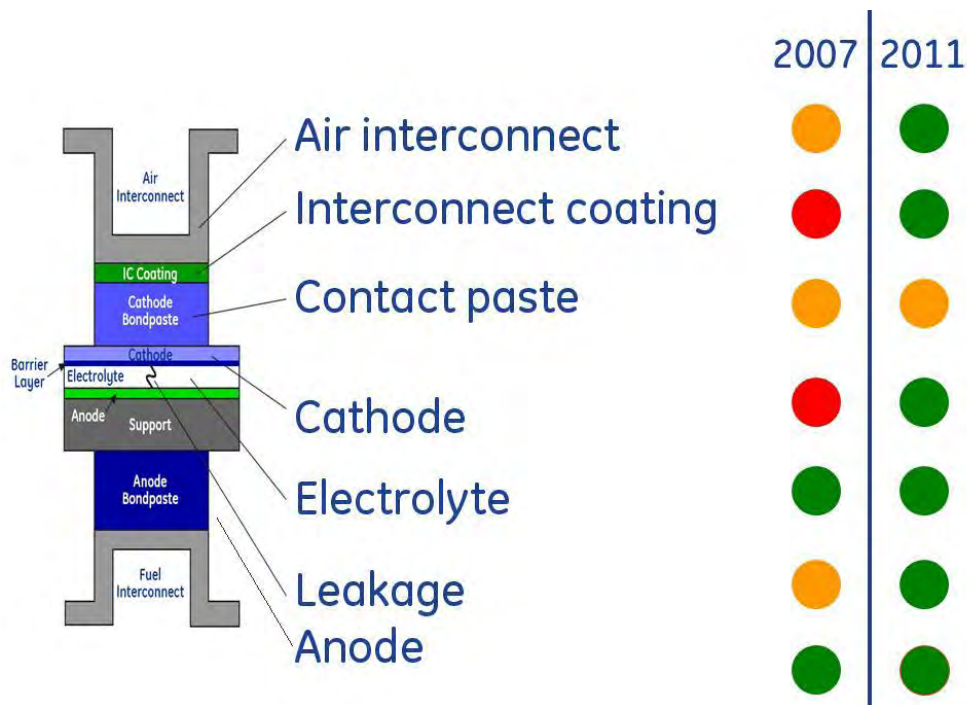
High power density and high degradation rate

SOFC degradation - phenomenological approach

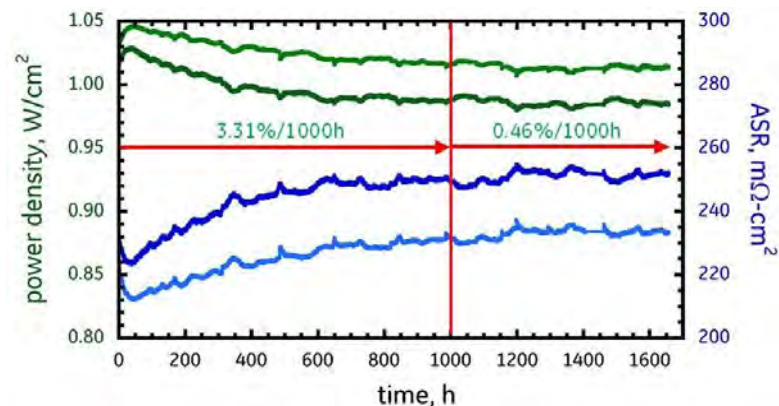


With a 'fixed' materials set: Focus on cathode side, high-impact degradation mechanisms

Degradation reduction

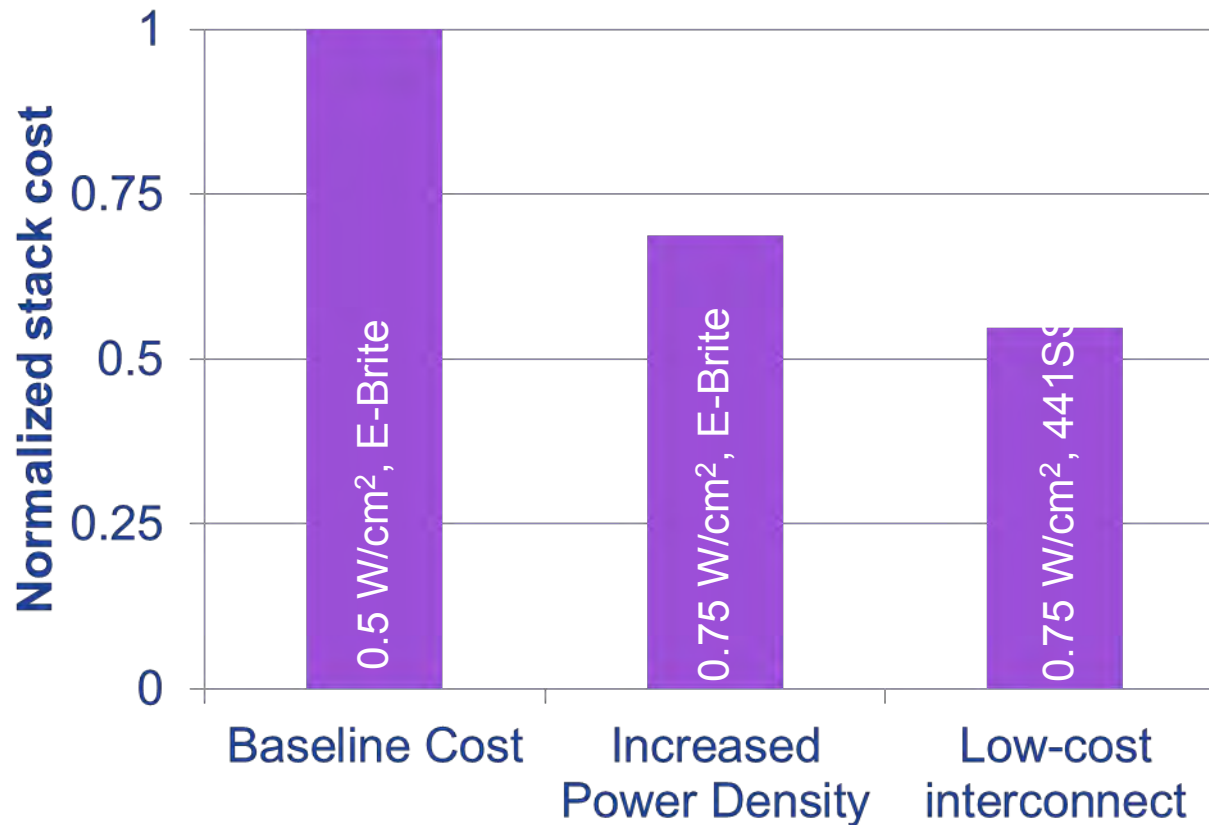


Degradation mechanisms identified and mitigation strategies validated



- Developed interconnect coating
- Stabilized cathode
- Validated low-cost interconnect alloy

Cost reduction

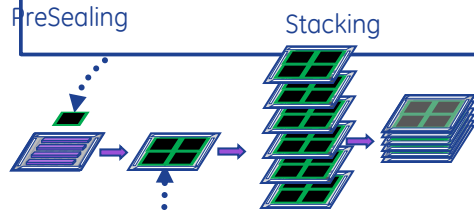
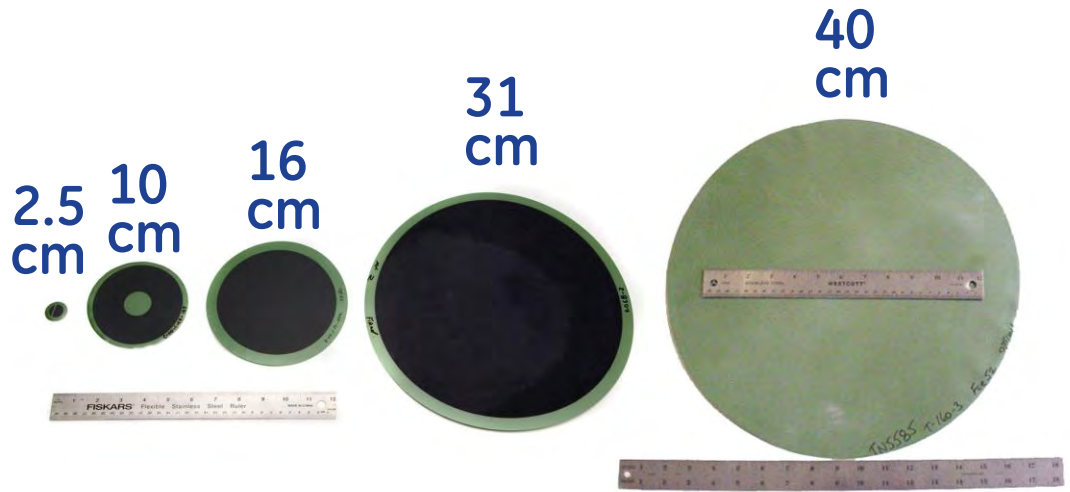
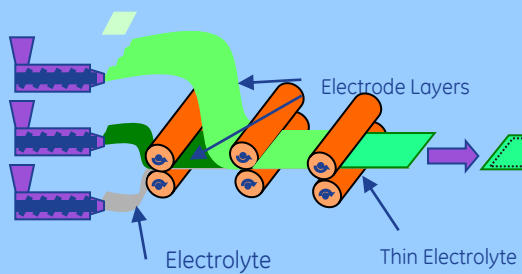


Increased power density and decreased steel cost lead to significant cost reductions

Low-cost manufa

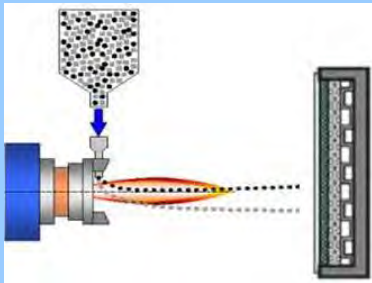
Sintered Cell Manufactu

Extrusion Lamination Cut



Larger area
Simplified sealing
Low capex

Thermal Spray

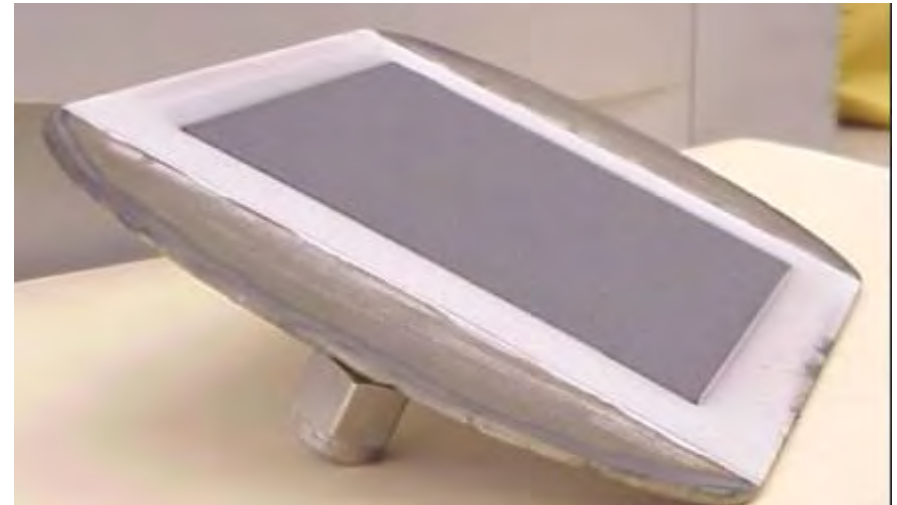
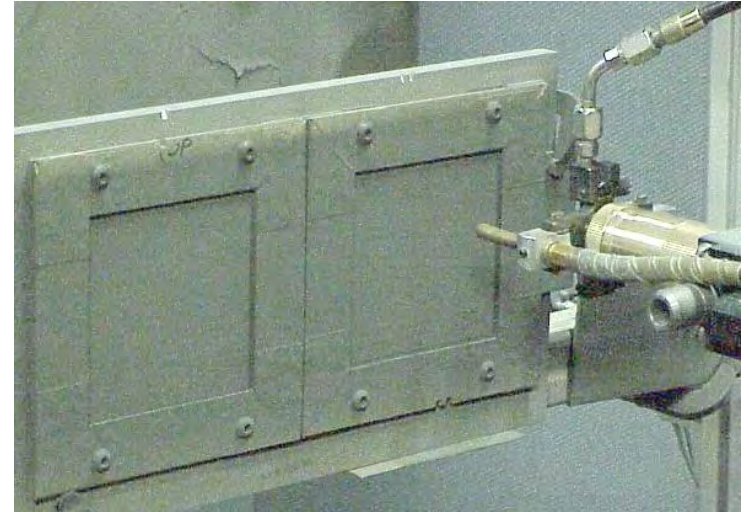


Leverage GE thermal spray expertise



Deposition Technology Progress

- High throughput, many different structures/compositions can be easily fabricated
- Cell and stack design tailored to deposition processes
- Performance reaching sintered cell levels
- Scale-up to 4" and 12" cell on-going

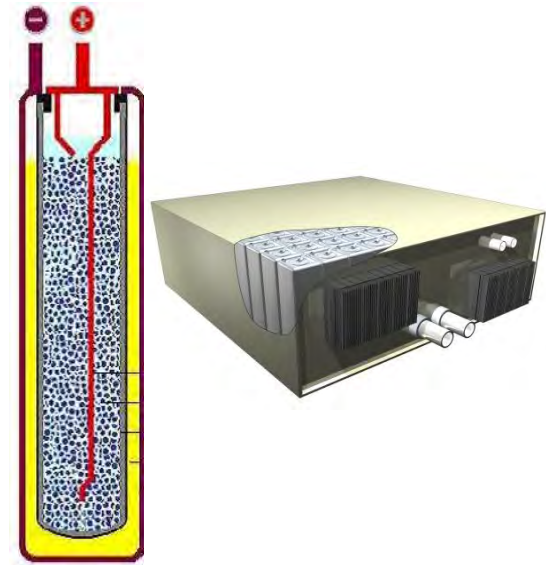


SOFC Summary

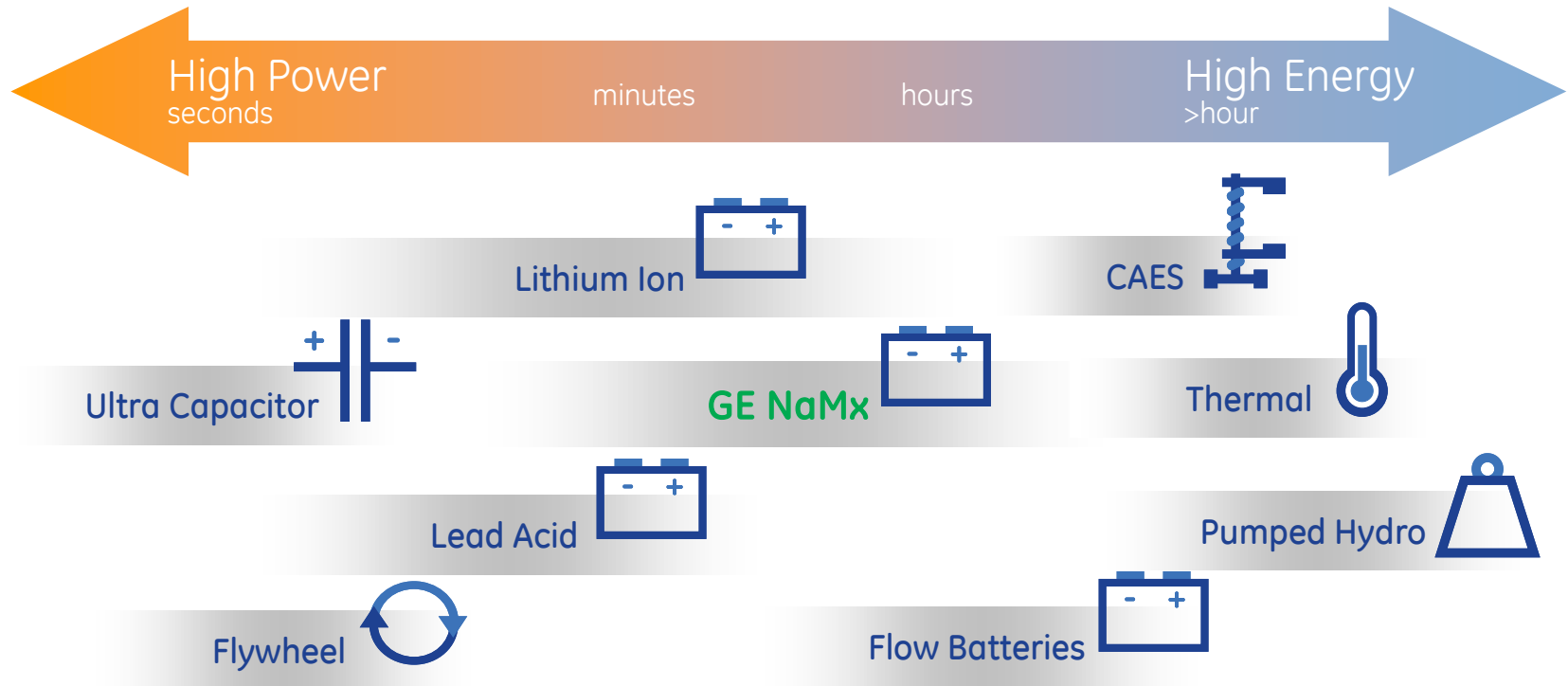
- SOFCs offer opportunities for high efficiency systems
- Significant progress made in past several years
- Life & Cost are key challenges in commercializing SOFCs
 - GE pursuing low cost plasma spraying technique for processing of electrolyte for anode supported SOFCs

NaMx Battery

- Application Space
- Materials Needs



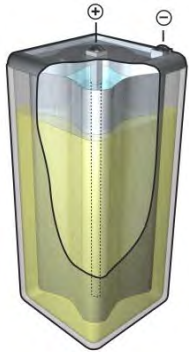
Power/Energy Spectrum



Power - needed to drive at high speeds, Fast charge/discharge
Energy - needed to provide range, distance and power over extended periods

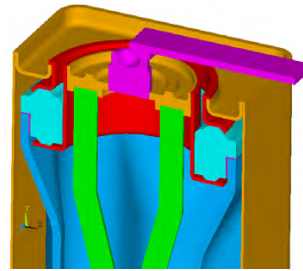
Technology areas for Na-Metal Halide Batteries

Chemistry



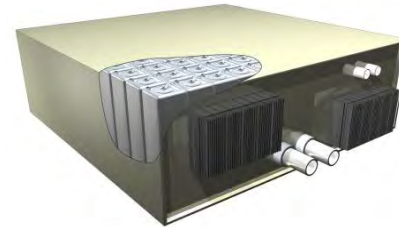
- Cathode chemistry
- Performance maintenance
- Modeling/diagnostics

Materials



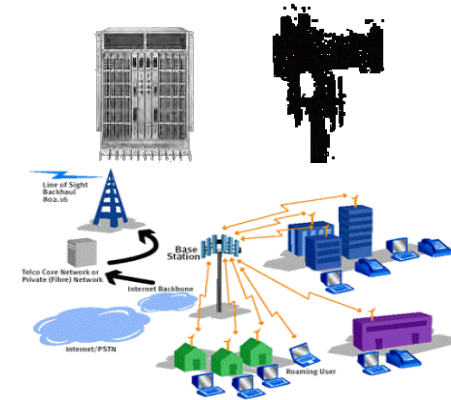
- Beta''-alumina
- Sealing materials
- Joining processes
- Corrosion

Battery pack



- Thermal management
- Vibration hardening
- Packaging materials
- FE modeling

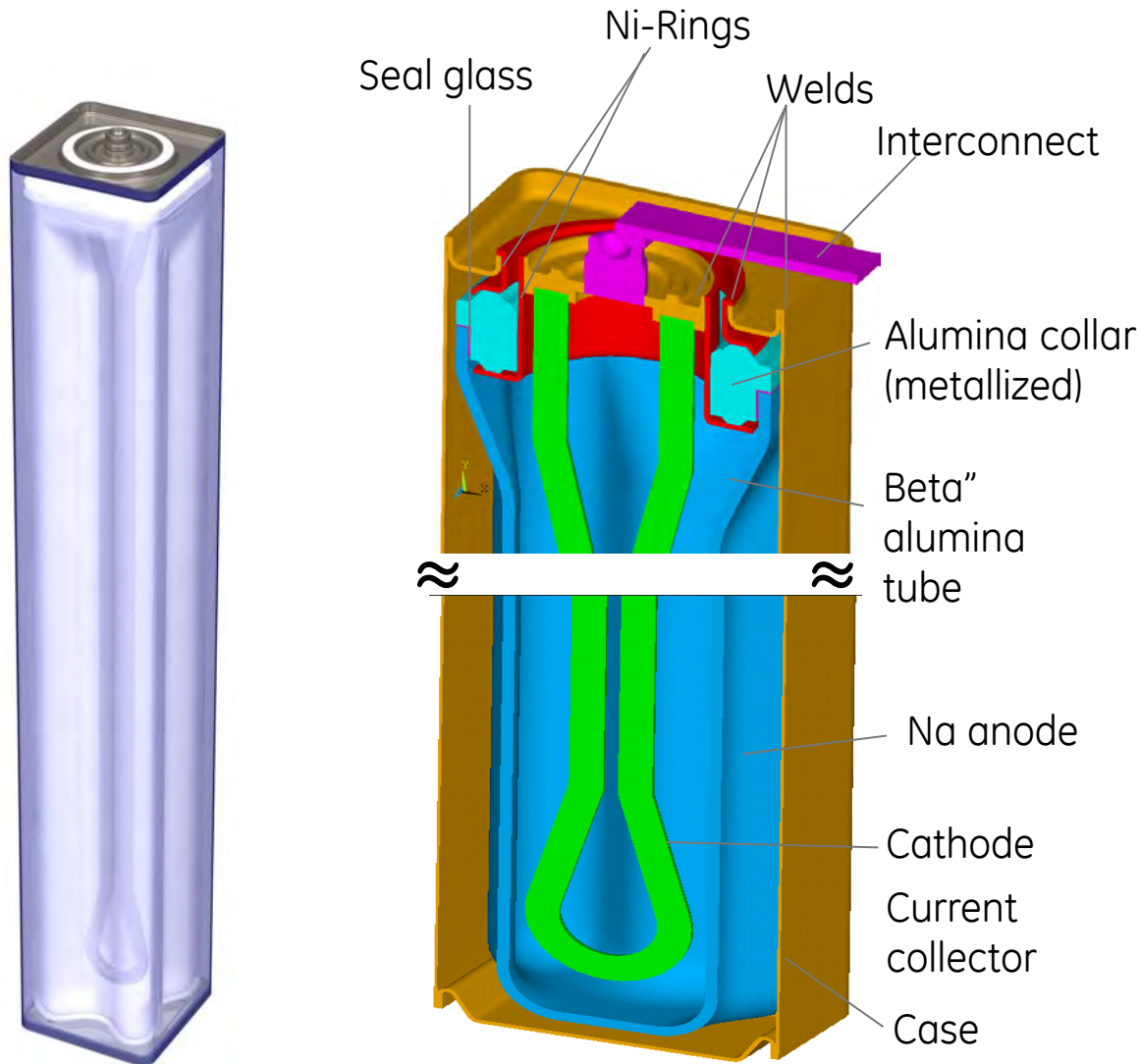
System Integration



- Control
- System optimization

Key drivers/tradeoffs: Performance – Reliability - Cost

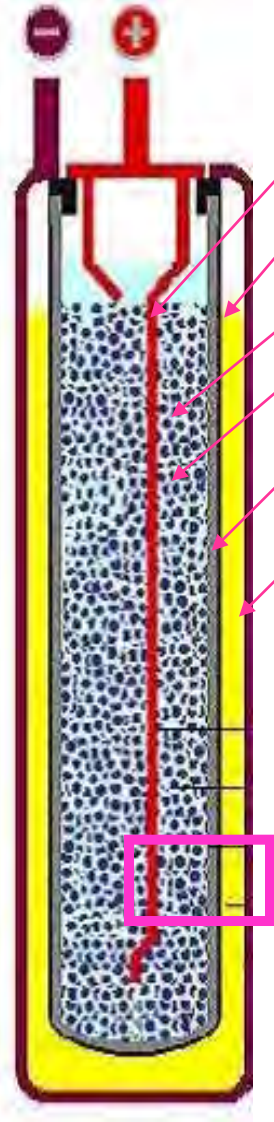
Na-NiCl₂ cell basic structure



Key materials

- Stable cathode mix
- Solid electrolyte (Beta"-Alumina)
- High-temperature seals
- Metal to Ceramic bonding
- Corrosion resistant metals and alloys
- Low resistivity current collectors

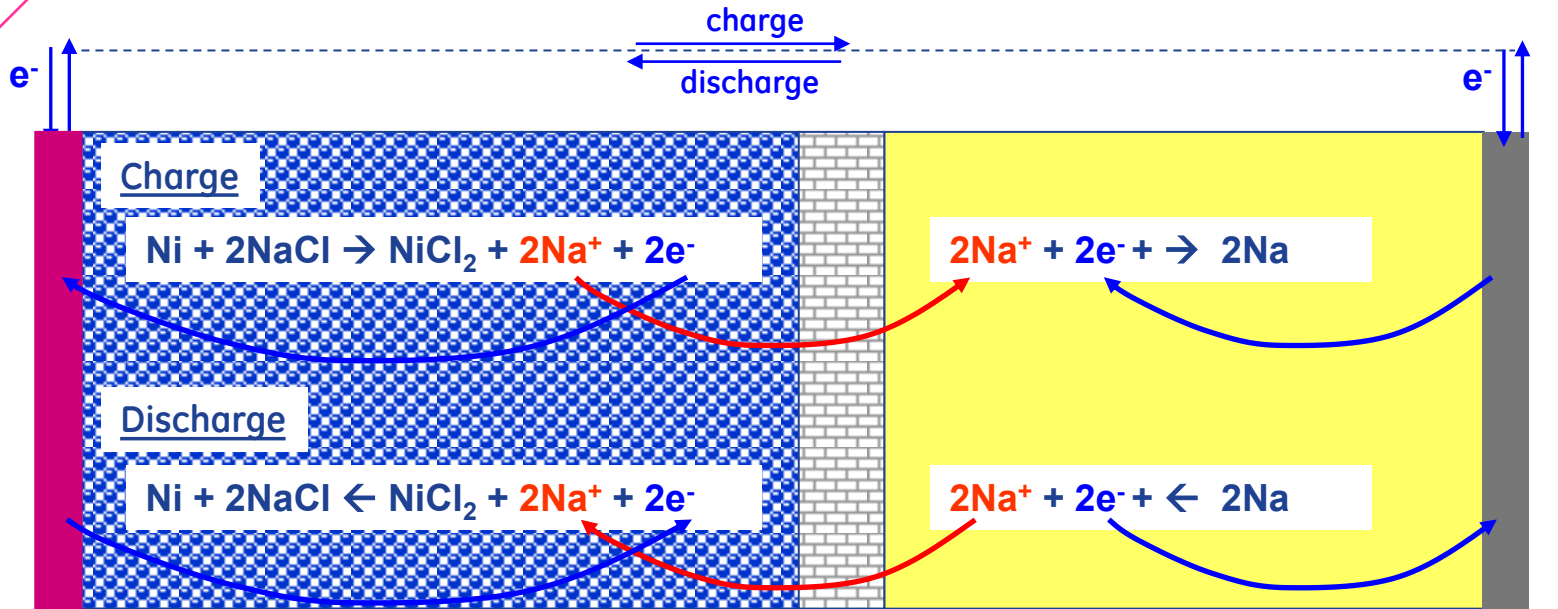
Na-NiCl₂ cell basic chemistry



- Current collector (Ni)
- Anode (liquid Na)
- Cathode (Ni+NaCl+Additives)
- Liquid electrolyte (NaAlCl₄)
- Beta" Alumina Solid Electrolyte (BASE)
- Case (mild steel)

Cell operating conditions

- Temperature ~ 270C-350C
- Voltage ~ 1.8-3.4V (OCV: 2.58V)
- Current ~ 20-100A
- Cell power ~ 100-200W
- Resistance (initial) ~ 7-10mΩ
- Pressure 1-2 bar



Cathode current collector

Cathode + liquid electrolyte

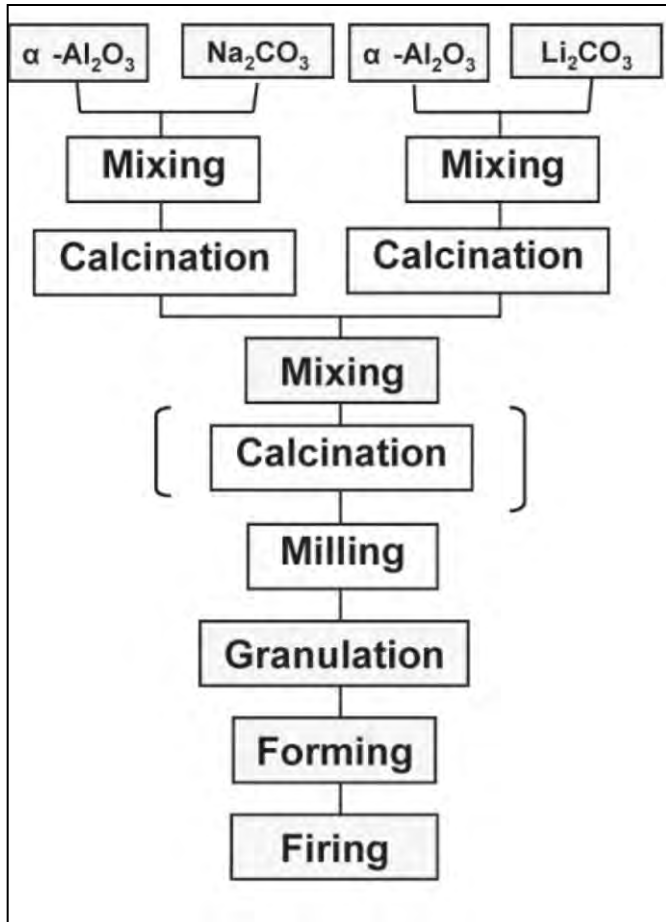
Beta" Alumina Solid electrolyte (BASE)

Anode

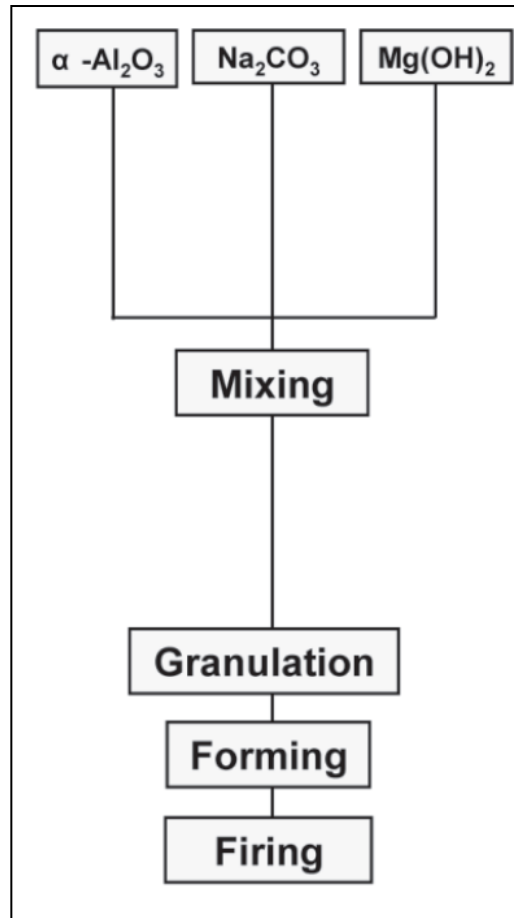
Anode current collector

Beta"-Alumina: Various processing routes

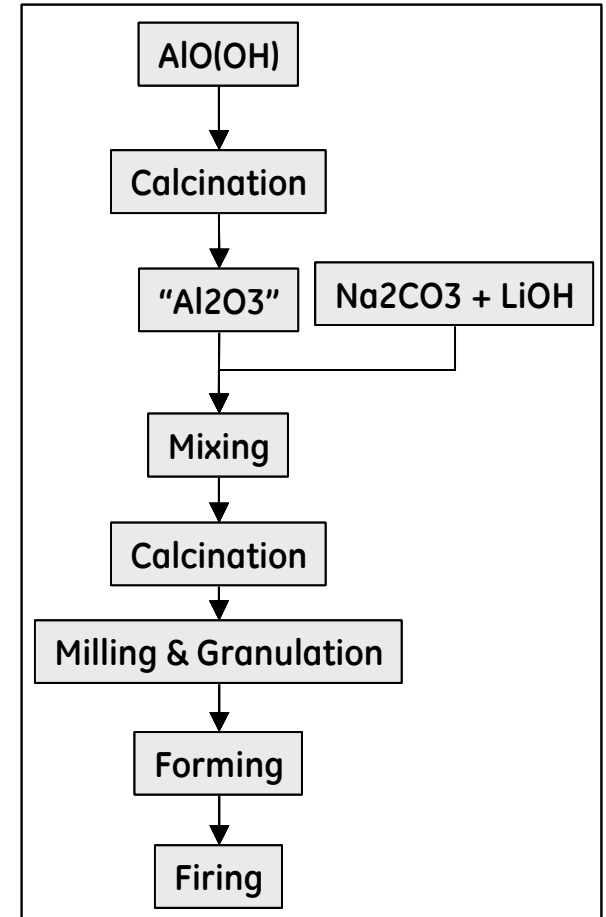
*After T. Oshima et al**



*After T. Oshima et al**



*After J. Sudworth***

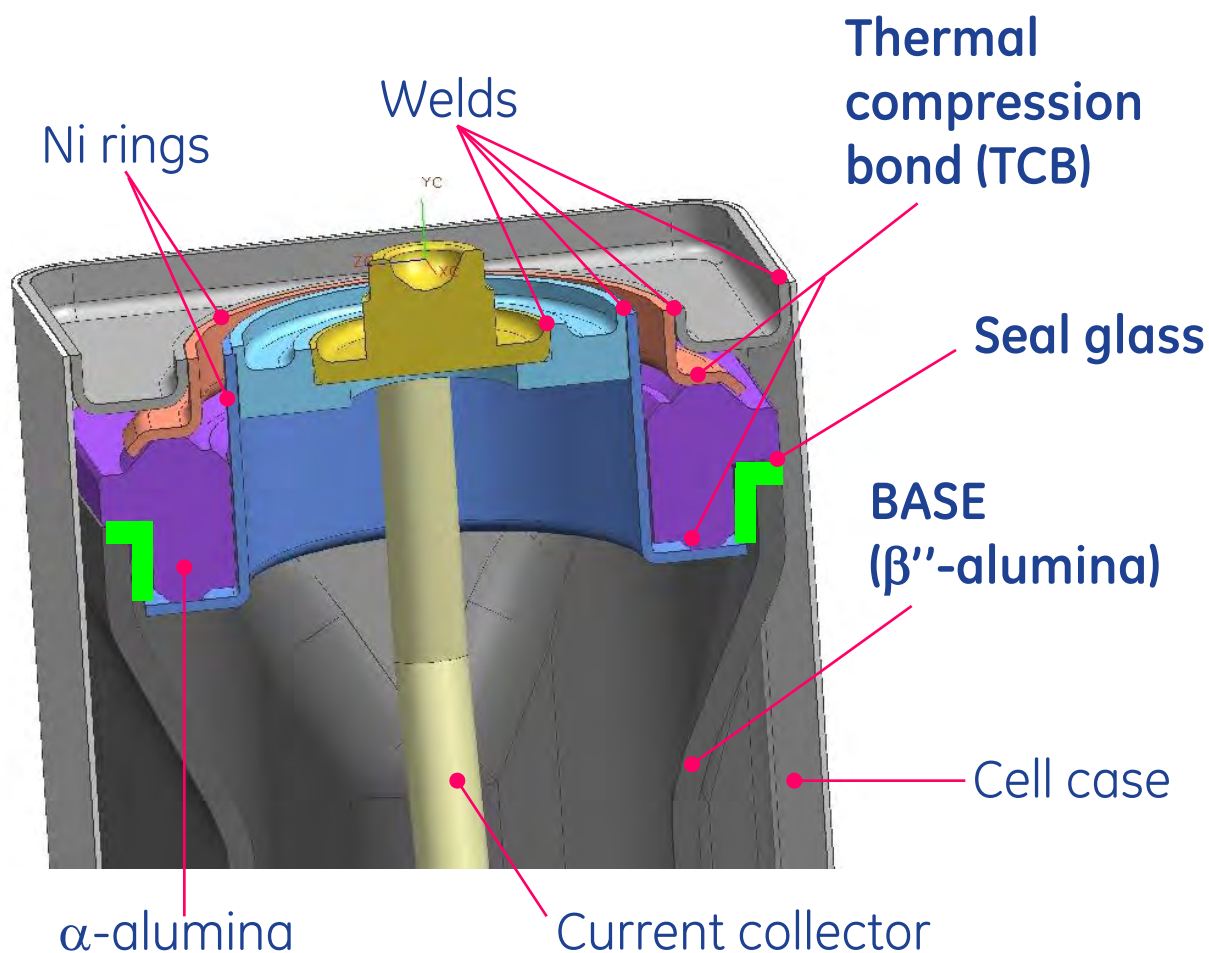
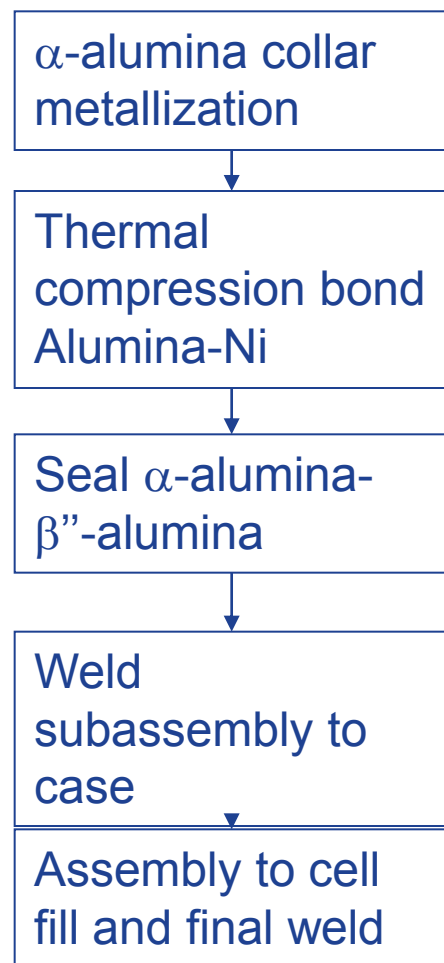


*T. Oshima et al, *Int J. Appl. Ceram. Tech.*, 1 (3) 269-76 (2004).

**J. Sudworth, *MRS Bulletin* March 2000

Sealing materials & processes

Cell assembly steps



Bonds strength of all joints and resistance to cell chemical fill are most critical

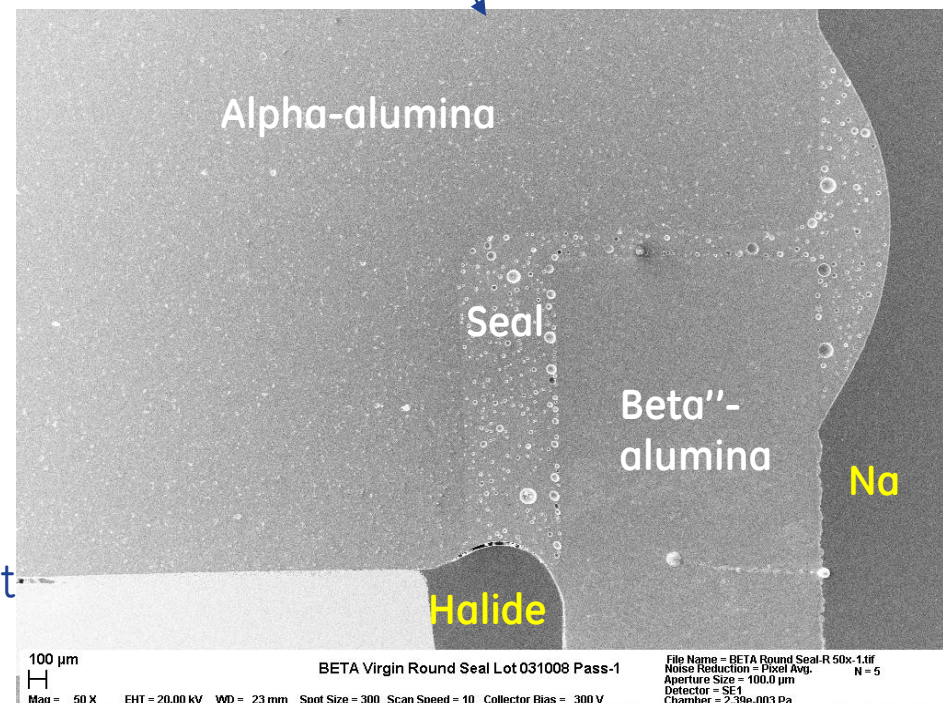
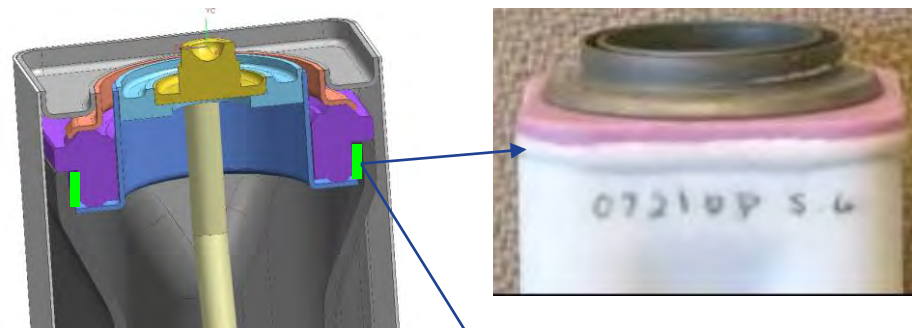
Seal glass

Requirements

- Chemical resistance to Na and halide melt
- No interaction with Beta"-alumina (ion exchange)
- High bond strength
- CTE close to β'' and α -ceramics
- Hermiticity
- Low process temperature (800-1050C)

Technology areas

- Material composition
- Corrosion mechanism in Na and halide melt
- Characterization (Properties & Bonding)
- Sealing process



Sodium-sulfur batteries (GE)

- Aluminoborosilicate glasses (GE 2093 and GE 2112)



Sodium-Metal Halide batteries

Improved properties for compatibility with cathode chemistry

Thermal compression bond

Requirements

- Chemical resistance to Na and halide
- High bonding strength
- CTE close to α -alumina
- Hermiticity

Technology areas

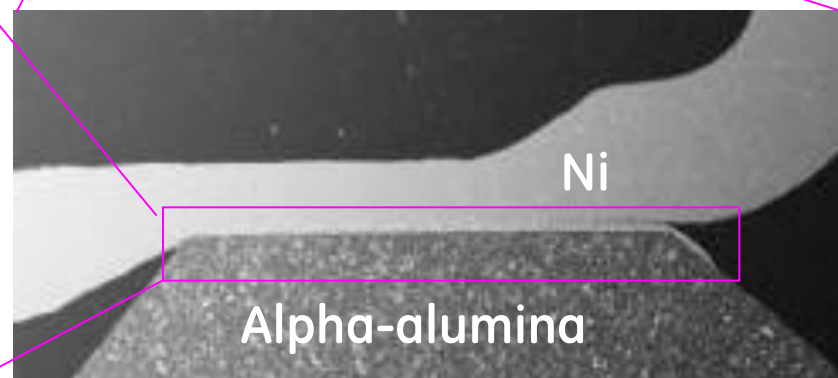
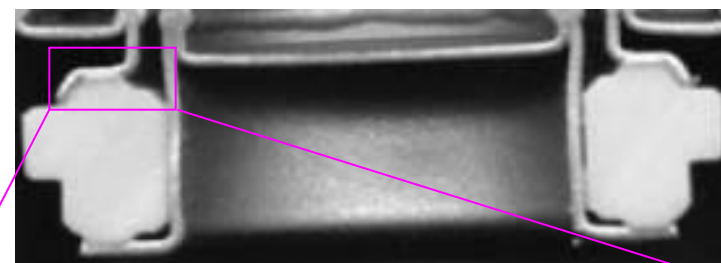
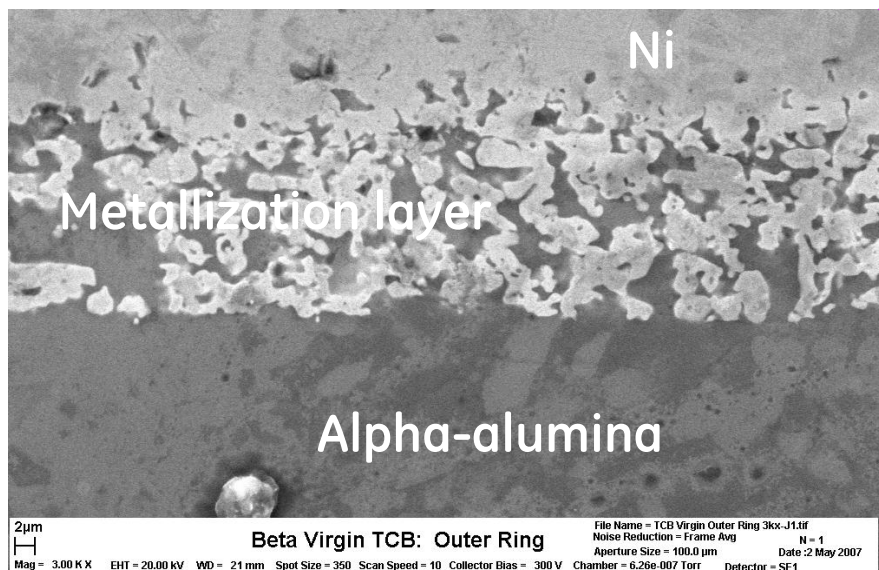
- Metallization material composition
- Sintering and TCB processes
- Characterization (Properties & Bonding)
- Corrosion mechanism in Na and halide



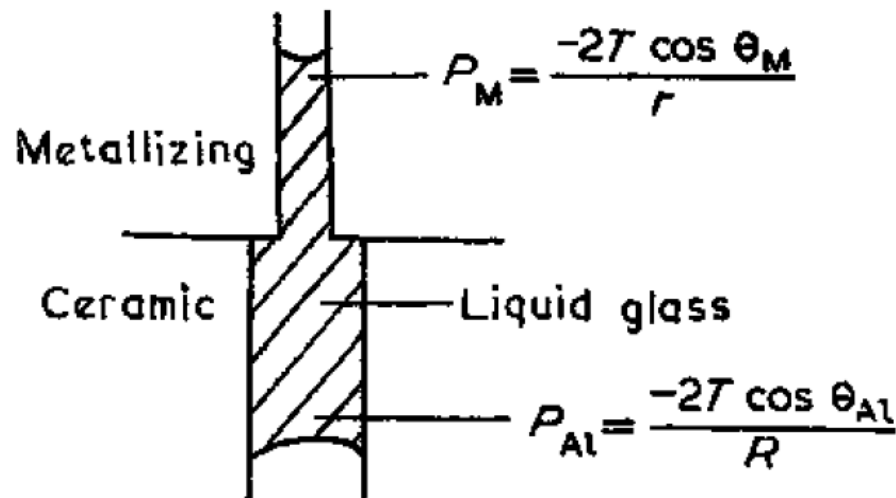
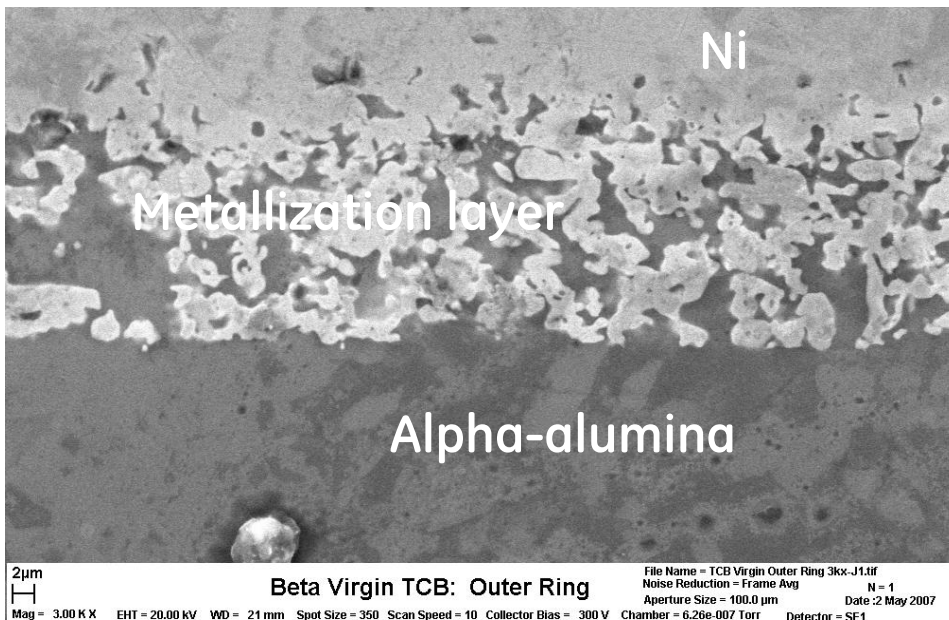
Ceramic metallization



Thermal Compression Bond



Ceramic metallization



Good conditions for glass wicking

- Small pore size in metallization layer
- Large grain size in ceramic

Materials interaction with cell chemistry

Challenge

Ability to predict material life in cell environment without testing the cell for years

Accelerated life test

- Accelerate corrosion by increasing temperature and/or using liquid phase vs vapor without introducing “un-real” failure mechanisms
- Establish stress-life curves through test data
- Estimate material life & degradation rate

Thermodynamic modeling

- Proven to be effective in several materials applications where thermochemical data are available
- Limited data for Sodium-Metal Halide battery materials and chemistry

Pristine seal sample



After 1 week at 425 C

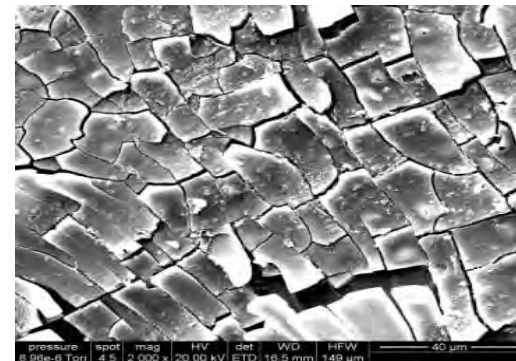
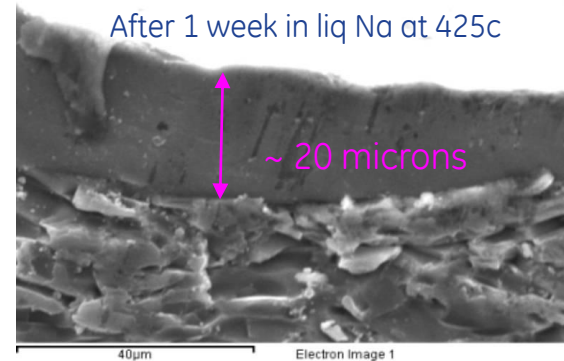


In Liquid Na



In Liquid halide

After 1 week in liq Na at 425c



Manufacturing Investment

New York Battery Plant
GE Energy Schenectady Campus

- Repurpose existing facility
- 190,000 ft² factory
- Workforce of 350



NaMx Battery Summary

- NaMx Batteries are demonstrated to be suitable for a wide spectrum of energy applications (Transportation, Power infrastructure)
- Advanced materials (cathode, ceramic electrolyte and seals) are key enablers for the performance and life of NaMx batteries

Summary & Conclusions

- Ceramics offer tremendous opportunities for improved efficiency of many gas generation systems
 - SOFCs, Batteries, CMCs, Ceramic Cores
- Life & Cost are key challenges in commercializing ceramic components
- GE actively working on commercializing many ceramic components
 - CMCs & NaMx batteries offer opportunities within 5 years
- Materials research key to addressing commercialization challenges
- Many companies, including GE, are actively recruiting in ceramics areas

Acknowledgements

- CMC and SOFC work were partly funded by DOE (DOE contracts DE-FC26-00CH11047& DE-NT0004109)
- Key Contributors
 - CMCs: Greg Corman
 - SOFC: Matt Alinger
 - NaMx Battery: Mohamed Rahmane